# Exhibit 6

to

**Declaration of Declaration of Andrew S. Hansen** 

Ralph Simon v. Select Comfort Retail Corp., and Select Comfort Corporation Case No.: 4:14-cv-1136 (JAR)

# In The Matter Of:

Ralph Simon vs.
Select Comfort Retail Corp., et al.

Neil Geoffrey Carlson August 7, 2015



Min-U-Script® with Word Index

		*		ort Retail Corp., et al.
		Page 1		Page 3
1		TES DISTRICT COURT STRICT OF MISSOURI	1	PROCEEDINGS
2		RN DIVISION	2	Whereupon, the deposition of NEIL GEOFFREY
3		<u></u>	3	CARLSON was commenced at 8:59 a.m. as follows:
4	RALPH SIMON,		4	* * *
5	Plaintiff,	)	5	(Reporter's Note: The oath was
6	vs.	) Case No. 4:14-cv-1136(JAR)	6	administered by the court reporter.)
7	SELECT COMFORT RETAIL	)	7	MR. CARLSON: I do.
8	CORP. et al,		8	* * *
9	Defendants.	<b>}</b>	9	NEIL GEOFFREY CARLSON,
10			10	after having been first duly sworn,
11	DEPOSITION		11	deposes and says under oath as follows:
12	The following	is the deposition of	12	***
13	NEIL GEOFFREY CARLSON,	taken before Jean F. Soule,	13	EXAMINATION
14	Notary Public, Register	ed Professional Reporter,	14	BY MR. CORWIN:
15	pursuant to Notice of To	aking Deposition, at the	15	Q. Good morning, sir.
16	office of Oppenheimer W	olff & Donnelly, LLP,	16	A. Good morning.
17	Campbell Mithun Tower,	Suite 2000, 222 South Ninth	17	Q. Could you please state your name for
18	Street, Minneapolis, Mi	nnesota, commencing at	18	the record?
19	8:59 a.m., Friday, Augu	st 7, 2015.	19	A. Neil Geoffrey Carlson.
20			20	Q. And you have been disclosed and, in
21			21	fact, have written a report in the case of Ralph
22	*	* *	22	Simon versus Select Comfort; is that correct?
23			23	A. Yes.
24			24	Q. Did you write the report?
25			25	A. Yes.
		Page 2		D 4
		1 490 2		Page 4
1	APPEARANCES:	1 ago 2	1	•
1 2		•	1 2	Q. I see. And, first of all, we have
	On Behalf of the Pl	aintiff:	2	Q. I see. And, first of all, we have your report and your rebuttal reports. Are you
2	On Behalf of the Pl David S. Corwi SHER CORWIN WI	aintiff: n, Esquire NTERS, LLC	2	Q. I see. And, first of all, we have your report and your rebuttal reports. Are you familiar with those?
2	On Behalf of the Plant David S. Corwing SHER CORWIN WII 190 Carondelet Suite 1100	aintiff: n, Esquire NTERS, LLC Plaza	2 3 4	Q. I see. And, first of all, we have your report and your rebuttal reports. Are you familiar with those?  A. Yes.
2 3 4	On Behalf of the Plant Sher Corwin WII 190 Carondelet Suite 1100 St. Louis, Mis Phone: (314)	aintiff: n, Esquire NTERS, LLC Plaza souri 63105 721-5200	2	<ul> <li>Q. I see. And, first of all, we have your report and your rebuttal reports. Are you familiar with those?</li> <li>A. Yes.</li> <li>Q. The opinions that you're prepared to</li> </ul>
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Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 5 Page 7 1 Q. Thank you. **BY MR. CORWIN:** What materials, if any, were you given 2 So you did not disclose that you had 2 with regard to your preparation for this case? the summaries and you did not bring them today; is 3 3 4 Could you clarify, sir, what you mean 4 that right? by materials? A. I did not bring the summaries today. 5 5 Sure. Have you read a deposition of Did you, by any chance, have a summary 6 O. 6 any of the individuals who have been deposed in 7 or review the deposition of any Select Comfort 7 this case? witnesses that had been deposed? 8 8 Um, I have glanced at a couple of the I don't recall seeing any of those, 9 A. 9 depositions. I didn't read them thoroughly. sir. And I'll have to check my memory, but I don't 10 10 Which couple of depositions did you recall anv. 11 11 glance at? So the sole source of the information 12 12 A. I glanced briefly at -- at a summary that you have with regard to the allegations and 13 13 of Mister -- I guess the plaintiff's deposition. the testimony in this case is from Ms. Fisher and 14 What is the plaintiff's name? her office; is that right? 15 15 I don't remember. I just -- this is MS. FISHER: Objection, misstates A. 16 16 the first time I've -- I've -- when you stated it, 17 17 testimony. that was the first time I ever heard it. **THE WITNESS:** Yeah, that would be 18 18 So, I have your report that's been correct, it does misstate testimony. 19 19 provided to me. Did you disclose in your report BY MR. CORWIN: 20 20 that you had reviewed the deposition of the How so? 21 21 O. plaintiff in this case? 22 In that I said I -- as I spoke 22 It was a cur -- I don't know if I did previously, I did glance at the information from 23 23 or not. If you point it out to me, then -that one. 24 24 What other depositions did you glance Now, I have a question to make sure 25 25 Page 6 Page 8 at, if any? that I'm understanding this correctly. Are we --1 I'm trying to recall. I -- I was sent When we talk about deposition, are we also talking 2 some and I just -- I looked at, essentially, the, I about rebuttal or not? I want to be clear about 3 3 think -- and I'm going from recollection, summaries that, sir. 4 4 of -- of the deposition provided to me by the Q. I don't understand your question. 5 5 6 attorney. 6 A. By Ms. Fisher or Mr. Hansen? 7 If we're talking about rebuttal or 7 Q. Q. I -- I believe so, although there may not, what do you mean? 8 8 9 have been another person, because I had at least --9 Well, for instance, there was it looks like three different names that were depositions and then people wrote rebuttals to 10 10 reports. So do vou include rebuttals to reports in contacting me. 11 11 I see. And you did not bring those your -- in that statement that you asked me about 12 12 summaries with you today? or are you just talking about strict depositions? 13 13 No, I did not, sir. I'll tell you what. I want to be on 14 14 And you did not disclose those in your the same page with you, so I'll start over. Okay? O. 15 15 report that you --A. Sure. 16 16 MS. FISHER: Objection. It would be Q. You have been provided summaries of 17 17 attorney-client privileged information. 18

some depositions, that's one thing, correct? 18

That's correct, sir. Α.

- And you don't remember who the 20 Q. summaries -- what deposition the summaries were? 21 22
  - Um, let's see, let's -- let's clarify that again. Could you restate that? I want to make sure I answer you correctly, sir.
    - Okay, sure. And maybe -- well, let's

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**BY MR. CORWIN:** 

for the purposes of this deposition.

MR. CORWIN: Okay.

You did not disclose in your report --

MS. FISHER: We are representing him

MR. CORWIN: Is he your client?

THE WITNESS: Well, go ahead.

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Case: 4:14-cv-01136-JAR Doc. #: 66 Filed: 08/28/15 Page: 5 of 41 PageID #: 792 Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 9 Page 11 try this again. materials. For instance, have you reviewed or Do you know which depositions were looked at any photographs --2 2 summarized for you? 3 A. Oh. 3 4 I don't recall all of them, which --4 Q. -- that were taken in this case? all of them that were summarized, sir. I have, A. Thank you, that's very helpful. 5 5 let's see, a few facts that I gleaned from some I did see images of, let's see, the 6 6 summaries, but I don't know where they were all 7 bed in a driveway, and then I glanced at some of 7 gleaned from, sir. So I -- that's my honest the images, and I don't know if they were provided 8 8 answer. by -- let's see, I believe it was the doctor 9 9 And your recollection is there was that -- or the person that was hired by Oppenheimer Q. 10 10 about three deposition summaries that you reviewed? 11 to do the analytical work or the cultured samples 11 I'm going to ballpark it at that, but, for the -- the bed. So I --12 12 again, I'm not -- I'm trying to be as accurate as O. Do you know who that is? 13 13 possible to you, sir. I don't recall the person's name, but 14 14 And I appreciate that, and the it -- I'm -- I'm not -- I don't remember the name. 15 15 summaries that you reviewed were provided to you by So we've now added to your reference 16 16 Ms. Fisher or somebody from her office? materials, if you will, case specific --17 17 By representatives from Oppenheimer. A. Thank you, sir. 18 18 Would that be clear enough for you? -- photographs. Anything else that Q. 19 19 you can think of that you've reviewed to do your Q. Fair enough. 20 20 Okay. work in this case? 21 Α. 21 Now, have you been provided other I'm not sure. If in the course of 22 22 expert reports in this case? inquiry that comes up, I will notify you of that, 23 23 I have been provided and I glanced at sir, but I'll try to be as --24 24 some of the rebuttals to my -- to this, and I don't 25 But as you sit here today, the 25 Page 10

Page 12

recall the individuals and I don't recall the exact number, but I was provided summaries of that, and I did glance at those, just to get a flavor of where 3 they were concerned about. 4 5

- O. So we've talked about some expert reports and rebuttals, we've talked about deposition summaries and possibly glancing at the 7 deposition of the plaintiff in this case, correct? 8 9 Α. Yeah.
- O. 10 Is there any other material that's specific to this case that you reviewed as part of 11 your work in this case? 12
- I'm not sure what extent you mean by 13 other materials. So if -- if something comes up in 14 your line of questioning, then I will say, you 15 know, that, but I don't know where your line of 16 questioning is going, sir, because I don't know if 17 I'm -- if, for instance, you ask me a line of 18 questioning and I'll say, well, this informed my
- 19 opinion and it was a reference in a book. Do you 20
- know what I mean, sir? 21
- Well, I'm not talking about references 22 Q. 23 to books.
- Oh, okay. 24 A.
  - Q. I'm talking about case specific

- glancing at the plaintiff's deposition, the
- deposition summaries provided by Oppenheimer, and
- the sets of photographs that you identified, those 3
- are the only things that you can think of that are
- 5 specific to this case that you reviewed during your
- 6 work on this case?

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- A. I would -- I would add the rebuttal that I mentioned.
- 9 O. Sure. The expert reports and the rebuttal? 10
- Okav. I wanted to make sure that we added that so we'd be clear. As far as -- and, 12 again, I will tell you that I will let you know if during the course of questioning something else comes up. Is that fair, sir? 15
  - What I want now is a -- I've detailed for you everything that you can think of right now that you've reviewed during your work for this case; is that correct?
    - I will say that --A.

MS. FISHER: Objection, asked and 21 22 answered.

23 **THE WITNESS:** Um, I'm looking for a little guidance here. 24 25

BY MR. CORWIN:

Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al.

Page 13 Page 15 1 O. It's a yes or no answer. in this world, are there not? MS. FISHER: I believe the witness Yes, sir. 2 2 A. said that he's told you everything that he can 3 Q. And we have talked -- or we will talk 3 think of at this time, and he will supplement his 4 4 about Cladosporium in this case, correct? Well, answer as the deposition goes on. I'll ask the questions. 5 5 THE WITNESS: I don't want to be --You're aware that Cladosporium is a 6 6 sir, I don't want to be in a position where I'm 7 mold that's involved in this case; is that right? 7 being untruthful to you. Do you understand, sir? Yes, sir. 8 8 A. BY MR. CORWIN: And you are aware of the studies in 9 9 Q. I want to be in a position where I which people can have a sensitivity to Cladosporium; 10 10 don't have any surprises, sir. Do you understand is that right? 11 11 I would ask you to define sensitivity, 12 that? 12 A. sir, so I want to make sure --A. I understand your need to not be 13 13 Allergic to it? surprised. Q. 14 14 A. Yes, sir. 15 Q. Okay. So let's try this again. 15 You have glanced at the deposition of O. There are other molds in this world 16 16 the plaintiff? that people -- common molds that people can be 17 17 A. Uh-huh. allergic to, right? 18 18 Q. You've reviewed summaries of other A. Yes, sir. 19 19 depositions of individuals you can't think of the Q. So, can you describe what Aspergillus 20 20 names that were provided by Oppenheimer? is? 21 21 A. 22 It's a genus of fungi that are --22 well, they were named after -- if you're familiar 23 O. You've reviewed expert reports and 23 rebuttal reports, the rebuttal reports you believe with the Catholic faith, the -- when they dip the 24 24 are those that discuss your opinions, and you've Aspergillus into the water and you put the Holy 25 Page 14 Page 16 reviewed some photographs. As you sit here today, Water, and then when they saw it under a microscope they said this looks like a Catholic Aspergillus. can you think of anything else that you reviewed specific to this case during your work on this case? So the structure of the mold looks like an 3 3 I -- at this point, no, at this right Aspergillus. So when they saw it under a 4 4 moment in time, no. microscope, they had a nice name for it. 5 5 Now, you would agree that tease tape And would you consider Aspergillus a 6 6 sampling is useful for determining if a material 7 common mold? 7 surface has fungal growth on it, wouldn't you? A. Yes. 8 8 9 A. Yes, sir. 9 Q. Aspergillus can be toxic in some forms 0. And you would agree that tease tape to humans? 10 10 sampling is useful to determine whether a surface It depends -- can I clarify that it 11 11 has heavy spore deposition of problematic depends on the species? 12 12 organisms, wouldn't you, sir? Q. Sure, and that's why I said in some 13 13 A. Yes, sir. forms? 14 14 0. And you would agree that tease tape Yes, that's correct. I wanted to make 15 15 Α. sampling of a surface is useful to determine 16 16 sure -whether that surface has normal spore deposition Q. So what species of Aspergillus can be 17 17 with no fungal growth, correct? toxic? 18 18 Α. Yes, sir. MS. FISHER: I'll just object to the 19 19 phrase toxic. I think it's vague. 20 Q. So in your business, tease tape 20 sampling is an acceptable method for identifying **THE WITNESS:** Do -- Can I ask for 21 21 22 mold; is that correct? clarification by what you mean by toxic? 22 23 A. Yes. sir. 23 BY MR. CORWIN:

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Q.

I'd like to talk to you a little bit

about mold, if I may. There are all types of molds

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Q.

yes?

Well, what did you mean when you said

Ralph Simon vs. Select Comfort Retail Corp., et al.

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Page 17

1 A. Well, I would say -- let's say

aflatoxin, which is present in peanuts, which is 2

- usually caused by Aspergillus flavus. So that 3
- 4 would be a toxin that by ingestion causes health
- effects. Does that clarify it? 5
  - It sure does. O.
- 7 A. Okav.
- And you're familiar with the studies 8 Q.
- that people can be allergic to Aspergillus, 9
- correct? 10

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- A. Yes.
- And people certainly can be harmed if 12 Q.
- it is the species of Aspergillus that creates 13
- aflatoxin, correct? 14
- If they ingest material that has 15 aflatoxin in it, they can -- produced by that 16
- fungus, they can be harmed by it, yes, sir. 17
- Fusarium, is that a common mold? 18
- It's a little bit less common, but, 19
- yes, it is both a -- it's a -- the organism -- it 20
- comes in various shapes, but the typical organisms 21 will be canoe shaped with multi-septations. It is 22
- a plant pathogen. It can cause problems with 23
- spoilage of grain. They, for instance, will have 24
- problems with -- let's say during a harvest if the 25

- come out from it like that (indicating).
- 2 Great. And can it be found in the
  - homes of individuals, people in the United States?
  - That one is less commonly identified
- than some of the other organisms that you 5
- mentioned. It can be found, but it's less common. 6
  - What are ochratoxins?
    - A. **Ochratoxins?**
- Q. Yes, sir. 9
- A. Those are toxins of, I guess, the --10
- 11 the Seminole organism that produces it is
- Aspergillus ochraceus, that organism that is -- it 12
- produces a stipend, and it's got a roughened edge 13
- on the stipend, and the head is a beautiful yellow 14
- color. It's -- ochraceus is named for the color of 15
- the organism, and that organism can produce a 16
- toxin. I am a little bit less familiar with the 17
- 18 effects on it, but it does produce one.
- Let's talk about the effects. If 19 somebody ingests an aflatoxin, what are some of the 20
- common reactions? 21
- Typically, the toxic effects are 22
- related more toward long-term exposure, and my 23
- recollection on this is a -- whether it's more a 24
  - kidney or a liver toxin, but you can produce

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Page 19

- moisture event or rain event occurs at the wrong
- time, it will spoil the -- the Fusarium will take
- over the crop and spoil it; and it can produce, 3
- under appropriate conditions, several different 4
- types of toxins, depending upon the species of 5
- 6 Fusarium.

- I'm going to butcher this one. Q.
- Cunninghamella, how do you say that? 8
- 9 Yeah, you're -- you're good.
- I'm good? Q. 10
- Yeah. 11 A.
- 12 Okay. Tell me about that mold?
- That one is -- I'm recalling it's a 13
- little bit less common. It is, I believe, a -- as 14
- I -- my recollection, it's a Zygomycetes-type 15
- organism. So those organisms are slightly 16
- different from other ones, particularly when --17
- their sexual reproduction is -- it produces 18
- **Zygotes, and they have two -- two different** 19
- sections that come together and they clamp, and 20
- then there's a zygospore that's produced in the 21
- middle of it. It also has a method of asexually 22
- 23 reproducing so the head of it looks, at least on
- first examination, somewhat similar to an 24
- Aspergillus head, but then you'll have buds that 25

- some -- I believe some carcinogenic effects. 1
- And you mentioned it was like a peanut 2 allergy. I was on a plane recently --3
- 4 A. No, no, it's not like a peanut
- allergy. 5
- 6 O. Oh, I thought you said it was?
  - No. It grows -- it grows on peanuts, A.
- but it's not an allergy. 8
  - Q. I see.
- A. Just to clarify. 10
- Thank you. 11 O.
- Some people will be more sensitive 12
- than others to aflatoxins; is that right? 13
  - I -- I actually don't know about variable sensitivity to the aflatoxin.
- Q. What is a mycotoxin? 16
- 17 A. A mycotoxin is a toxin that is
- produced by a fungal organism, hence, the M-Y-C-O,
- which would be -- designation as fungal organism, 19
- and the toxin would be the chemical that's 20
- typically a fairly large structure, typically -- at 21
- least the best research we know, not typically 22
- 23 volatile but be -- be present either on the spore
- or on the -- the mycelia. 24 25
  - And what are the health effects of O.

Ralph Simon vs. Select Comfort Retail Corp., et al.

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like.

Page 21

ingests a mycotoxin? 2

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A. It depends on the mycotoxin, it

depends on the dose. So the typical -- there's two tracts, for instance, if we were talking about a

somebody -- to somebody, generally speaking, that

couple -- it depends on the growth characteristics 6

and how it was growing and how it was produced. 7

So, for instance, like it's -- let's look at 8

Stachybotrys as an example. If -- if you ingest 9

it, you can have one of two main tracks, one would

be a suppressed immunity, the other one would be --11

and this is primarily in animals that have ingested 12

hav that has Stachybotrys growing on it.

I don't mean to interrupt you. I'm 14 talking about the health effects on humans, not on 15

animals. 16

On humans? 17 Α.

O. Yes, sir. 18 19

A. Ingestion is -- is -- as far as

inhalation, it's very difficult. They had a --20

they had a case of hemosiderosis in CDC, and it's 21

since been disproved, so it's really difficult by 22

inhalation to prove that people get enough dose to

That's what the literature says. And

when it first came out, they were really concerned

because the hemosiderosis that was seen was

somewhat similar to what they were seeing in

animals, but they did subsequent tests on it and

there was concomitant exposure to high levels of

have an ill health -- health effect. 24

> Q. And that's what the literature says?

are called myco, meaning mold, toxin meaning they

Page 23

Page 24

are toxic to individuals, correct? 2

> A. In the appropriate dose, yeah.

4 So that's what I was asking you. So of those mycotoxins, say, for instance, the

5 Aspergillus that can create a mycotoxin or a 6

7 aflatoxin, in this case, what kind of health

8

effects can an individual have if they're exposed?

The best data we have is by inhal -ingestion. The inhalation data is not so good. So

11 the ingestion data would be -- for instance, I

think I talked about with the aflatoxin, the kidney 12 and liver problems and potential associated cancer, 13

I believe, aflatoxin is listed as a carcinogen; 14

and, then -- then the other effects that I 15

mentioned for Stachybotrys, which would be 16

primarily by ingestion, would be immunosuppression 17

and -- and, then, in animals, although the human 18 part isn't proved, the hemosiderosis issue. 19

What type of conditions does 20

Aspergillus need to grow?

It depends on the species. So I can 22 give you a specific one for fumigatus, if you would 23

Fumigatus is a thermophile, so it

Page 22

grows well at conditions that are above body

temperature. So, for instance, in a compost pile, the heat in the compost pile, typically, gets above 3

4 37 degrees and can get quite warm, and that's how

5 the compost works; and Aspergillus fumigatus will

6 grow very well at those temperatures. It needs --7

I think all -- all --

And that's fine. What I'm looking 8 9 for, are there any Aspergillus that grow at less than body temperature? 10

Oh, ves. A fumigatus will grow 11 through that wide -- it's a wide ranging. 12

What type of food sources, in general, 13 does an Aspergillus require? 14

It's, again, dependent on the species. Α. But this goes for -- it would, essentially, require dust, moisture, proper temperature or any one of those three, and you have to have those in the right combination, then you can -- you can get growth. If -- if, for instance, if it's completely

20 dry -- fungal organisms need some -- some moisture 21

to work, because the way they digest food is their 22 23 stomachs are, essentially, outside their bodies, so

they have to have some sort of moisture to -- to 24

allow them to digest. 25

tobacco smoke, which made that problematic for them 7 to determine cause and effect. 8 9 Are you just talking about one

particular mycotoxin or all mycotoxins? 10 I was talking, in particular, that 11 A.

mycotoxin.

Q. No, and I -- see, that's where I want 13 to make sure --14

> Sure. Α.

Q. -- we understand each other. 16

Okay, thank you, thank you. 17 Α.

Because there are a number of molds O. and fungal materials that create mycotoxins, right?

Yeah, that is correct, sir, yes. A.

And some of those molds and/or fungal 21

materials can be found in the house of people in 22 23 the U.S., correct?

Yes, sir. 24 Α.

25 Q. All right. So those mycotoxins, they 15

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Case: 4:14-cv-01136-JAR Doc. #: 66 Filed: 08/28/15 Page: 9 of 41 PageID #: 796 Neil Geoffrey Carlson - August 7, 2015

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1 Q. Cladosporium is the same way, it needs dust, moisture and temperature? 2

Yeah. And it would also -- veah, I 3 4 think that's --

- And it can grow over a wide range of 5 Q. 6
- temperatures? It's a little more limited than 7
- fumigatus. I believe there is one species of 8 Aspergillus that can grow above body temperature. 9 Cladosporium, in general, is like -- it goes from 10 psychrophilic to mesophilic. Psychrophilic means 11
- above freezing to generally below body temperature. 12 So the vast majority of Cladosporium would grow in 13 that temperature range. 14
- And did you do any testing to 15 determine the temperature that's reached on a 16 Select Comfort bed while a person is sleeping in 17 it, and what I mean the temperature where you put 18 the mold sample? 19 20
  - A. You asked a couple questions.
    - Q. And let me rephrase it for you.
- A. Yeah, yeah. 22

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- Because we're going to get into your 23 O. experiment in a little bit. 24
- 25 Sure, yeah. A.

question?

Q. Nope.

You would agree with me that when mold spores are dry, they are able to be more readily released, usually with the help of mechanical forces, correct?

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Page 28

A. Um, let's see. I got to think through all the different scenarios there, sir. If the mold spores are in a position where it's, let's say, completely saturated, soaking wet, it's difficult for them to be released. If, however, it's damp underneath, but like the spores on top are in a condition where it's -- it's not soaking wet and it's able to be released, it's going to be fairly similar between -- because the organism when they're dry they tend to behave a little bit differently, and they may not aerosolize as well because of the structure of them because they're -they're desiccated. So it's kind of -- well --

- Mold spores that are living and damp, do they release into the air?
- Yes, sir. 22 A.
- 23 O. You need a mechanical process, is that what you were going to say? 24
  - Um.

A.

Page 26

But you put the mold sample next to the air chamber and below the foam topper pad; is

that right? A. Yeah. We put it on the -- the chamber and then below the foam topper.

Did you do any testing of the 6 temperature, the typical temperature, the 7 minimum -- the high temperature that is reached in 8 9 that area with a human body sleeping on the bed?

We did not do temperature measurements with a human body on that.

Did you do any temperature 12 measurements at the location where you placed the mold sample for your experiment? 14

Our -- I want to be clear about it. 15 We did general temperatures in the room. But are 16 you saying like a thermocouple placed --17

> Q. Yes.

-- at that location? We did not do a 19 A. thermocouple at that location. 20

Would you agree with me that molds in 21 a damp environment do not release spores well? 22

23 Um, it depends on whether there is a mechanical disturbance of the material or not. So 24 I -- do you -- do you want to rephrase your 25

Or you do not need a mechanical Q. 1 process? 2

the mechanical process for you. So you would --4 let's give an example. Let's say we had spores 5 growing on the wall there. If I bumped the wall, it would be released. If you had air flowing by, it would be released. And when I'm talking about 9 mold, I'm talking just about mold, not basidiospores, to be clear, because basidiospores 10

It depends -- so I'm going to define

provide their own energy for releasing, so they 11 ballistically shoot these out, but the organism 12 that we're talking about, Cladosporium, doesn't 13

have a method of shooting it out on its own. Does 14 that help you? 15

O. Sure.

You agree with me that for some 17 individuals Cladosporium can be the cause of 18 allergies? 19 20

Yes, sir, I did. A.

You wrote that, in fact, right? Q.

I -- I -- if it's in my report, yes. Α.

Q. Do you know what type of Cladosporium was identified by Patsy Duncan?

No. She didn't indicate that in the A.

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report. I did -- she -- and if this was not

- included in my original statement there, I want you 2
- to add that, because I did -- she -- I did see 3
- 4 that, the EMLab P&K part. So if you want to add
- that, I did see that it was Cladosporium, but I
- didn't see whether it was -- the species wasn't 6
- identified. It was a tease tape that said 7
- Cladosporium species. 8
- Did you see the pictures associated 9 Q. with it? 10
- I didn't see a picture of the 11 organism. That would have been really helpful, but 12 I did not. 13
- You agree that Cladosporium is 14 Q. 15 commonly found indoors, growing on dusty surfaces?
- If -- if there's sufficient moisture, 16 ves, sir. 17
- O. These surfaces include window sills, 18 concrete walls, supply diffusers, shower walls, and 19 labels on bottles stored in walk-in coolers? 20
  - Yeah. There's others, but, yeah. Α.
- Did you do any analysis to determine 22 whether the air chamber of the bed used by Ralph Simon could grow Cladosporium mold?
- 25 I did not have access to Mr. Simon's

- 1 Did you speak with that person as you were designing your experiment in this case? 2
  - No, I did not talk to that person.
  - Q. You would agree with me that the IAQA suggests that being prompt, serious and transparent in managing complaints is sound and will keep people informed and on track towards resolving the

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Page 32

problem? 8 MS. FISHER: Objection, vague. 9 **THE WITNESS:** I -- I -- I'm not

familiar with that -- that IAQ -- could you --11

#### BY MR. CORWIN: 12

- O. Indoor Air Quality Association.
- I'm familiar with that organization. I haven't seen that specific statement. So if you could re -- reread it for me?
- You don't remember that statement?
- A. I want you to reread it for me so that I can recall.
- Okay. The IAQA suggests with regard 20 Q. to complaints that being prompt, serious and 21 transparent in managing complaints is sound and 22 will keep people informed and on track towards 23 resolving the problem? 24
  - MS. FISHER: Objection, vague as to

Page 30

chamber. 1

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- Did you do any testing as to whether 2 the topper pad, the foam pad of Mr. Simon's bed, 3 could grow mold? 4
- I was not -- I did -- not given access 5 Α. 6 to that.
  - Q. Did you ask to see it?
- Um, I'm trying to recall if I did. 8
- 9 I -- I can't recall if I did or not. I -- it would
- have been nice to have the -- have access to it. I 10
- believe access was given to the other -- the person 11
- who did the microbial work for Select Comfort that 12
- was local. 13
- Q. What do you mean by microbial work, 14 what does that mean? 15
- The person that used techniques to 16 determine if they could find growth on a --17
- microbial would -- is a -- if we're going to have a 18
- Venn diagram, microbial would be here, and it means 19 growth that's visible microscopically. 20
- Q. Okay. Do you remember that person's 21 22 name?
- 23 No, I don't. I -- it's a rather
- long -- but I can't remember his exact name. But 24
- he was --25

complaints. 1

**THE WITNESS:** I'm not understanding 2 what -- where you're going with this or --3

# BY MR. CORWIN:

- Do you agree with that statement? O.
- **Um**, prompt -- I'm going to paraphrase it so that I kind of understand what it -- to me,
- it sounds like they want people to clearly 8
- 9 communicate and respond with -- respond in a timely
- fashion. Does -- does that -- that's my 10 understanding of that statement. 11
  - And do you agree with that?
- I think it's appropriate to get -- get A. 13 information and respond in a timely fashion, yeah. 14
  - And be transparent? O.
  - A. I'm not sure what the --

MS. FISHER: Objection, vague.

**THE WITNESS:** I don't understand where 18 you're going with transparent. Do you mean -- what 19 do you mean by that? I -- if I under --20

MS. FISHER: Objection. There's no 21 context for the statement. We have no idea what --22 23 the witness has no idea what you're talking about. **BY MR. CORWIN:** 24

I'm talking about complaints,

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complaints about indoor air quality, about mold?

So, in other words -- this will help me understand where you're going with it. Can I --I'm just trying to clarify.

So, in other words, if I get a complaint from somebody and they say I think I've got a mold problem here, and then I do an analysis with them and I confirm that, yes, there is mold growth or not growth and I'm being transparent with them about the results, is that what you mean?

- You understand what the Indoor Air Quality Association is, right?
  - A. Yes.

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- Q. Are you a member of that? 14
- I believe at one time I was. I don't 15 Α. believe I am anymore. 16
- So in the context of complaints to a 17 building manager --18
  - A. Uh-huh.
- 19 Q. -- or owner from a tenant that there 20 is a complaint of mold, the IAQA suggests that 21 being prompt, serious and transparent in managing 22
- the complaints is sound and will keep people informed and on track towards resolving the problem? 24
  - I would agree.

started working growing on dust on fiberglass, and

- I -- we did mechanical cleaning of the surface and
- then did follow-up sampling. It was still there. 3
- 4 We treated it with a quaternary ammonia compound.
- It was still there. We did treat it with bleach,
- and as a short-term biocide it does a pretty good 6 7 job of -- of taking care of it.
  - But you would agree with me there are molds that can actually use bleach as a food source, right?
  - A. I don't think they use it as a food source. Here's the way it would be. Let's think about -- let's think about it from an ecological perspective and you're looking at competition. So if bleach comes along and wipes out all of your competition but doesn't kill all the spores that are left over, then they look and say, hey, after the bleach turns back to sodium chloride and after a sufficient amount of time, then it says I've got an open field and then another organism will take up. Typically, in this ecological niche, it would be penicillium that would take over.
  - So using bleach or a bleach/water solution to attempt to kill mold could actually have the opposite effect and ultimately create a

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- Wouldn't you agree that a manufacturer 1 of a product that is being sold into the community 2 has that same obligation? 3 4
  - MS. FISHER: Objection, foundation.
- **THE WITNESS:** I'm not sure where 5 6 you're going with that. I don't know if they are obligated legally to do that, sir. 7
  - BY MR. CORWIN:
- Don't the same principles apply, that promptness, seriousness and transparency will manage the complaint and keep people on track to resolving the problem? 12
  - I don't know a company's legal obligation. So you're asking me on something that is a matter of, let's say, a corporate decision, and I -- I'm not -- I'm not a person that manufactures anything.
- In your opinion, what is the easiest 18 way to kill mold such as Cladosporium or 19 Aspergillus? 20
- Cladosporium is really tough to kill. 21 The -- let's see, because I've tried to kill it. 22
- We had -- I'll give you an example, and then we can 23 work through it. 24
  - We had Cladosporium when I first

- better environment for the mold?
- **MS. FISHER:** Objection, misstates 2 testimony.
  - **THE WITNESS:** It -- it would -- it
- works better for penicillium. The Cladosporium 5
- typically doesn't come back, at least in the 6
- 7 studies that I was doing, but like a penicillium
- may come back after -- after -- if the underlying 8
- 9 conditions with respect to dust deposition and
- humidity. If underlying conditions are -- are a 10 little bit better, then, it can be an effective 11
- biocide. So it depends on the conditions 12
- subsequent to the use. 13

# BY MR. CORWIN:

- And I appreciate that, but you 15 mentioned penicillin. But can a mold such as 16 Aspergillus come back once the other organisms are 17 killed? 18
- I've got more experience with 19 Α. penicillin personally. I can't speak to the 20 Aspergillus, but I can speak to the penicillin. 21
- You can't rule out that Aspergillus 22 Q. could?
  - That is correct, sir, I couldn't. Α.
    - You would agree that based on your Q.

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experience effective mold remediation has resolved
allergy and asthma symptoms associated with mold
exposure?

MS. FISHER: Objection, foundation.

**THE WITNESS:** Um, I'm going to have to go with -- the Institute for Occupational Medicine

7 indicates that they haven't even found an

8 association between mold remediation and -- and

9 that -- the reduction in exposure. They have,

10 however, found a -- an association between removal

of water damage materials, of which mold is a

constituent of it, and so there's that association,

but they're having a difficult time getting to

14 the -- getting to the association specifically with

mold, but they -- but mold would be a constituent

of the water-damaged material.

## 17 BY MR. CORWIN:

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Q. So let me ask you once again. Do you agree or disagree with the statement that based upon your experience effective mold remediation has resolved allergy and asthma symptoms associated with mold exposure?

**MS. FISHER:** Objection, asked and answered.

**THE WITNESS:** Yeah. I'd have to add

they're having a dickens of a time doing it.

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Page 40

Q. So if somebody made those statementsthat I read to you --

A. Yeah.

Q. -- without the caveats, those

statements would be misleading?

A. Minorly misleading. In other words, they're talking about -- I can't say that they're completely incorrect, but I can -- so it -- it's really kind of parsing it. And, typically, when you do remove the mold you're also removing the water-damaged material. So a -- as a practical application, it's the same.

Q. Have you ever done mold remediation?

A. Um, I have done it, and then I have specified it, and I've taught courses in it.

Q. So I'd like to talk to you a little bit about this experiment that you performed. Okay?

A. Yes, sir.

Q. All right. Now, I'm going back to high school science, and bear with me, but I remember that the process was you developed a hypothesis, you designed a test, you performed the test, you record data, and then you reach a conclusion, correct, is that kind of a basic way of

Page 38

1 saying it?

the other caveats to that to make that an honestanswer.

# BY MR. CORWIN:

Q. Do you agree that there was evidence of reduced antihistamine use and the ability of asthmatic individuals to return to the workplace following mold remediation?

A. With the caveat that we were talking about, other water-damaged materials, because I don't want to step beyond what the scientific literature says.

Q. So if somebody makes those two statements in writing to the public, they should put those caveats in there?

14 It would be -- at least based on the 15 information from the Institute For Occupational 16 Medicine, that would be the most scientifically --17 or that would be the scientifically accepted way 18 of -- of -- of doing it, and it may be a few years 19 or some years from now that we're able to tie that 20 down specifically to get an association, but right 21 now it's -- it's a little bit -- it's -- we're 22 23 parsing it -- we have to parse it out as saying that the mold is a constituent of the water-damaged 24

material, but they're trying to link that and

A. That's the basic scientific method.

Q. Okay, great. It's been a while since I've been in high school.

So, when you started this endeavor, what was your hypothesis?

A. Um, with -- particular with respect to this experiment, the hypothesis would be that mold spores placed -- or, in other words, placed in that material would essentially be released through the -- the chamber, all the way through the top, and that we would -- by using the rollator, we would, essentially, see if there was -- if there was enough mechanical energy, we would see progressively more spores released throughout the course of the experiment because we're agitating it and trying to release the spores from the chamber.

The null hypothesis would be that the agitation of the chamber material would not release spores above background levels and that you wouldn't see a progression of elevated spores.

Q. So you had to have information or a request that led you to start developing this hypothesis. What information did you have to develop this hypothesis?

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Page 41 Page 43

**MS. FISHER:** Objection, vague. 1

THE WITNESS: Um, the --2

### **BY MR. CORWIN:**

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- 4 If it's vague, I don't want you to answer a vague question. 5
  - Yeah. A.
- What information did you have about 7 this case that you used or assisted you in 8
- developing this hypothesis? 9
  - Thank you for clarifying that. Α.
    - Q. Sure.
- The information I had was that the 12 Α. mold that -- essentially that was identified, let's 13 say approximately two months after the person 14 observed this discoloration, and then there was a 15 tease tape sample taken by this person from 16 Fungus-A-Mungus, and then two months later that 17 person took the tease tape sample. It was sent to 18 EMLab P&K, and they identified it as Cladosporium. 19

There wasn't a specific species identified. Other piece of information was that the discoloration observed was on the foam material that was pressed up against the chamber material, and I learned post-experiment that -- and I want you to correct me if I'm -- if I don't understand

- get ready, so we had to have the -- let's see,
- Mister -- the team for Mister -- I got to get the 2
- guy's name right. Mr. Barnum's team had to 3
- 4 manufacture the bed because we -- they don't make
- that style of bed anymore, and we had to get it 5
- assembled, and then we had to get the stuff to grow 6 7 cultures.

So we performed one experiment, and then we wanted to perform another one, but Mike Buck was on vacation, so we didn't. We would have liked to have performed as many as we could have. Mike Buck needs the money for his college students, so -- We would have loved to do as many as we could. So it was time limitation. I would have liked to have done a lot of --15

- And the more samples that you do, the more accurate your test results or you can repeat them or you can verify them, correct?
- Yeah. I mean, I've done a similar experience, but I can't -- I don't know if I can reveal it because it was for a different case, so --
- Sure, you can. In fact, I'm going to ask you about other experiments you've done for Select Comfort?

MS. FISHER: Without divulging things

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- it correctly, that the chamber at that time was discarded by the person. So we didn't have that.
- So I assumed that we were looking at the interface 3
- between the chamber material and the foam. 4
- How long -- well, let me back up. 5 6 Your test, over what period of time did you do the agitation and recording of the mold? 7 8
  - We used the average sleep time for both British and Americans, and that average sleep time, according to various surveys, is six point -between 6.8 and 6.7 hours per night, so --
- Q. How many nights did you conduct the 12 study? 13
- A. We conducted the study for one night, 14 15 sir, ves.
- And do you know how long Ralph Simon 16 suffered with allergies associated with possible 17 mold exposure, was it just one night? 18
- I don't -- I don't have the knowledge 19 of the -- of how long Mister -- the plaintiff 20 suffered. 21
- 22 Q. Why did you choose one night to 23 analyze?
- Well, we wanted to do more, but we 24 only -- we had a -- we were told -- we were told to 25

- that we talked about or you talked about with
- Oppenheimer for the case, if you want to talk about 2
- the facts of another experiment, you can go ahead 3
- and do that. I think it bears on this. 4

**THE WITNESS:** Okay. Is -- Do you want 5 6 me to discuss it now or not?

### BY MR. CORWIN:

- We'll get into it in a minute. Q.
- Α. Okav.
- But I think we're saying the same 10 thing. You would agree that the testing would be 11 of greater validity if you could do it over a 12 period of time and repeat the results or develop a 13 trend, correct? 14

**MS. FISHER:** Objection, misstates the testimony, and objection to the word validity.

**MR. CORWIN:** I'm not misstating anything, I'm making a new statement.

**THE WITNESS:** I think -- we'll speak generally about the scientific process. If you can do more tests, it strengthens the -- the result or the -- the findings of the result, so --

#### 23 BY MR. CORWIN:

And you don't know based upon your testing, given all other conditions the way you

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establish them, what the levels in the air of

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- Cladosporium would be over a five-year period of 2
- normal use in sleeping by an individual, do you? 3
- 4 Um, I don't think it's possible for a -- with the current methods we have to establish 5 that. 6
  - And you can't even tell the jury what those levels would look like after 30 days because you only did it once, right?
- I could -- with respect to this 10 experiment and, let's say, outdoor levels, I could 11 give them a ratio on it. I could say the average 12 exposure outside is between 106,000 spores per 13 cubic meter of Cladosporium. I could give them 14 really good data with respect to outdoor levels, 15 and I could state that based on the experiment that 16 we ran here, the levels didn't approach that, but I 17 can't state that -- what 30 days would look like. 18
- How much did you charge for this Q. 19 experiment? 20
- I don't recall the exact amount. It 21 was -- Oppenheimer would have that information. We 22 just -- we charged for the amount of time that we put into it, and our fee was \$150 an hour to do 24 the -- for our work. I think there were some --25

if you're interested, sir.

- 2 Q. I'm interested in obtaining what you 3 have.
  - A. I don't --
- And so far Ms. Fisher hasn't offered 5 Q. to produce them, so --6
  - I don't know my obligations on this. MS. FISHER: We'll talk after the deposition regarding the fee issues.

**THE WITNESS:** Okay.

11 If -- if there's no legal impediment to me providing that to you, sir, then I will be 12 happy to do so. Does that answer your question? 13 **BY MR. CORWIN:** 14

- Sure. And there were separate costs. Was there warehouse space that had to be rented?
- I didn't -- I wasn't involved with any 17 Α. 18 of that.
- Oppenheimer set that up? 19 Q.
  - A. Yeah. I wasn't involved with that.
- And making arrangements -- Who decided 21 Q. 22 to use the roller, whose idea was that?
  - We asked -- we did a determination A. about what would -- what we're trying to do is we did an inquiry about what would be an appropriate

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- also some additional costs that we weren't involved with with respect to getting a rollator and -- and 2 constructing the -- the --3
- Well, how do I find out what this 4 experiment cost Select Comfort? 5
  - A. I was doing the work for Oppenheimer, so --
- And you don't believe under the 8 9 Federal Rules you have an obligation to tell us what your costs were, what you charged? 10
  - I would tell vou -- I told vou 150 --I don't recall the exact amount.
    - Q. How many hours did you put into this?
  - Let me ballpark it. I don't -- it was less than 40 and more than -- because there was some report preparation, and more than 10.
    - And did Mr. Buck charge separately?
- I can't remember how we did the 18 billing. I don't know if he -- we rolled our bills 19 together or if we did it separately, but his was 20 the same rate, was \$150 an hour. 21
- 22 And do you have those bills back at 23 your office or someplace, have you kept them?
  - I do, and Oppenheimer has them since we billed them. So you could obtain that from them

- way or industry accepted standard way of simulating
- sleep. I -- I toyed with the idea of actually
- having a human being roll around on it, but then 3
- vou have to control for a lot of different 4
- variables, and I want to make sure --5
  - Like mold exposure?
- 7 Well, no, like -- looking at it like
- if I was going to have somebody repeat it, we'd 8
- 9 have to get somebody of exact weight, and we want
- something that's controlled so that -- you know, we 10
- have a rollator that goes over like this and comes 11
- back and you've got a piece of equipment that's 12
- generally accepted by the industry as far as 13
- durability testing. 14

So it would be somebody -- if they 15 wanted to do that experiment again or repeat it, 16 they would -- okay, we've got a rollator and we can 17 18 use it, and it would be a lot more controlled rather than having a human being do it. I have 19 done the human being part, but, again, that was 20 something I --21

- Was what? Q.
- Α. What?
- I didn't hear what your last thing Q. 24 25

was. You said ---

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Α. I have --

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2 Q. -- human being part but, and then you trailed off. 3

4 I have done that, but that would be something that -- I don't know if you'd be able to 5 have access to that information. 6

- Why, was that involving a case that was in litigation?
- As far as I -- it would be under a --9 let's see. I have done work for Select Comfort. 10 but it's written -- I have a confidentiality 11 agreement. So I don't want to be violating that, 12 13
- Was that the experiment you did when 14 Q. you compared traditional mattresses to Select 15 Comfort beds with regard to the propensity of mold 16 growth? 17

**MS. FISHER:** Objection, assumes facts 18 not in evidence. 19

**THE WITNESS:** I have done that -- a 20 different experiment, but not what you're talking 21 22

BY MR. CORWIN: 23

Are you aware of any testing in which 24 Select Comfort beds were compared to traditional 25

A. It was done at a lab that -- that does

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do Select Comfort work, but I was -- so I was 2

working on the -- on these beds. 3

- 4 Q. Did you do a written report?
  - No, I did not. A.
  - Did you take notes? O.
- 7 Yes, I did. Α.
  - Did you provide the results of your Q.
- inspections to anybody? 9
  - I do not know if -- I did not provide any written summary of the results, and I do not know if anybody has the -- there was -- there was no written report, so I don't know -- I don't know how that information was conveyed.
  - I see. Are you aware as whether Oppenheimer knew about this testing?
- I am not sure if they were or not. 17 18 They -- they may be aware of it.
- Do you know whether Select Comfort was 19 aware of this testing? 20
- I'm going to assume that they probably 21 were, but I don't know how the information was --22 was distributed. 23
- And did you also look at Select 24 Comfort mattresses when you were doing this 25

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investigation? 1

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Not during this one, no, this 2

particular one. I was looking at a wide variety of 3 different mattress types during -- during this 4

investigation and just looking at what --5

essentially cutting them up, looking at different

parts of the mattress and taking samples and seeing

what the general prevalence of it is. It's --

9 Cladosporium is incredibly common in mattress dust. So I was just going to get an idea and see if that 10

was true. 11

- When you did your samples, did you do Q. tape lift samples?
- I did -- on this particular one, no. I did a contact agar sample. So I was looking for spore growth, so -- and the media was a potato dextrose agar.
- 18 Do you have any photographs or test samples or anything related to this investigation? 19
- I have -- I have notes. I do not 20 have -- I don't recall if I have any photographs or 21 anything, no, other than that. 22
- 23 Q. And you don't recall who paid for that as you sit here today, under oath? 24
  - No, but I could look at my records and A.

mattresses and box springs with regard to the 1 2

propensity to grow mold? I have done tests with respect to a 3

wide variety of mattresses, over 101, with respect to spores that were in the -- approximately

6 90 percent of the mattresses had Cladosporium mold, approximately a third of the mattresses had either

heavy Cladosporium deposition or mold growth. The 8 9 surface area sampled was -- when I cut the beds up,

was approximately about two-by-two, or a RODAC 10 plate, which is approximately two square inches. 11

I do not know where the beds came from. It was a strictly observational study. I do not know any of the conditions that the beds were -- were -- the conditions prior to me seeing them, I don't know anything about that.

Q. Who asked you -- who paid for that study?

Um, I be -- I'm not sure who paid for 19 Α. it. I -- I received payment, but I'm not sure. 20

> Was Oppenheimer involved? Q.

I'm not sure if they were. I -- I'm 22

not a hundred percent sure who was involved. I was 23 told to do the study, so I did the study. 24

Was Select Comfort involved?

Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 53 determine that, but as I -- I submitted -- I'll 1 the mycologist? have to see where I submitted a bill for the time You're going to be a little frustrated 2 2

- on that. 3
- It's entirely possible that the 4 Q. Oppenheimer firm paid your bill, isn't it? 5
- MS. FISHER: Objection, calls for 6 speculation. I can tell you that's not the case. 7

MR. CORWIN: You're not under oath. MS. FISHER: Well, I'm the one who

knows. 10

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**THE WITNESS:** Well, I -- so I will 11 12 look at my -- I can provide my billing records and subsequent --13

MS. FISHER: Objection. You're not 14 required to provide anything. 15

THE WITNESS: Oh, okay.

MS. FISHER: It's not part of your 17 testimony here as an expert witness. 18

MR. CORWIN: You don't think so, you 19 don't think it goes to bias as to who he was paid 20 and how much, really? 21

MS. FISHER: No. 22

MR. CORWIN: Okay.

MS. FISHER: You don't even know when 24 this experiment took place. 25

with me. I know him by his first name, I call him 3 4 Dr. Don, and I can provide that information for you

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later, but that's --5

- O. Do you know where Dr. Don is located?
- 7 A. Um.

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- Q. Is he in Minneapolis, is he
- 9 someplace --
  - He's local. I can't give the direct Α. address.
- 12 Q. And you don't know if he's associated with the University or a college? 13
  - He was a former university professor. I believe right now he's working as a private consultant.
  - You would agree with me that the Select Comfort bed, such as the one used by Ralph Simon, can develop mold, wouldn't you?
    - I think that's true of any bed.
- So you would agree with the Select 21 Q. Comfort bed that was used by Ralph Simon could 22 develop mold, correct? 23
  - I don't think I would use the -- well, develop, I suppose, or mold spores could be --

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MR. CORWIN: I was just going to ask

- him that. 2 BY MR. CORWIN: 3
- When did this experiment, this 4 investigation take place? 5
- 6 I'm going to give you a ballpark. Approximately -- and I can provide it later if 7
- there's -- if the -- if it's legally allowed. 8
- 9 Approximately six years ago.
- Do you recall being informed or told 10 why you were being asked to do this investigation? 11
- No. It was just -- I was 12
- essentially -- there was -- a mycologist was 13
- working on it, and he wanted me to help out because 14
- he was a bit overwhelmed by the volume of materials. 15 16
  - So I came in and worked through the --What colleague was that?
- **MS. FISHER:** I'm sorry. I don't 18
- believe he said colleague. I believe he said 19 mycologist. Did you say mycologist? 20
- THE WITNESS: Yeah, mycol -- I'm 21 sorry. It would be -- the correct statement would 22
- be a mycologist. 23
- **BY MR. CORWIN:** 24
  - Well, thank you. What was the name of

Cladosporium mold spores could be present in his

Select Comfort bed, yes. 2

- And other mold spores could be present 3 in a Select Comfort bed such as Ralph's? 4
  - A. In any bed, yes.
- 6 So the statement is true, that mold
- spores such as Aspergillus can be grown or 7
- developed in Select Comfort beds such as Ralph 8
- 9 Simons's?

MS. FISHER: Objection, misstates prior testimony.

**THE WITNESS:** Are you talking about 12 the presence of spores or growth? 13

**BY MR. CORWIN:** 14

- O. Both?
- A. I don't know about Aspergillus. 16
  - Can it grow? Q.
- I don't know if -- if the conditions 18 are appropriate for Aspergillus growth. I --19
- I'm -- my focus in this one is on Cladosporium. 20
- I understand. What are the conditions 21 in the Select Comfort bed that are conducive to 22 23 development of Cladosporium mold?
  - I don't think there's any particular conditions in the Select Comfort bed that are --

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other than it being a -- a surface that can collect dust and that it's a -- if you apply water and dust 2

to a material, you can get growth, for instance, 3

4 you can get water and dust to carpet, it will grow.

There's -- Cladosporium likes to take off anywhere. So almost anywhere where you have moisture, dust and appropriate -- moisture, dust, spores, and appropriate temperature.

The same thing with Aspergillus, 9 Q. correct? 10

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A. It's a little tougher for Asper -- it doesn't have as -- Cladosporium has got a wider niche. Aspergillus is a little bit more selective in its niche.

Q. But it can, you're not ruling out the possibility with the way of the design of the Select Comfort bed that Ralph Simon was using could develop Aspergillus?

I -- you're asking me to speculate, Α. 19 20 sir.

No, I'm not. I'm asking you to use Q. your expertise in training, and I presume you know how this bed was put together and what happens when a person sleeps?

MS. FISHER: Objection, calls for

1 windows aren't open.

But let's try to focus on the question 2

3 I'd like to have answered. Okay, sir?

A.

Q. You're identified as an expert in this

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Page 60

case? 6

7 A. Right.

> Correct? Q.

Yeah. 9 A.

So you tell me, isn't it true that the Q. 10

Select Comfort bed that was used by Ralph Simon 11 could grow Aspergillus mold? 12

> I don't know if it can. Α.

Q. You know that water can be transmitted 14 15 from the human body down to the air chamber, correct?

A. Yes.

O. You are aware that Aspergillus is 17 found in the air on occasion inside homes and it 18 can land in the chamber, for instance, when you're 19 putting the bed together, correct? 20

> Yes. Α.

And the temperature is in a range when a human body is sleeping such that the conditions could be acceptable for the growth of Aspergillus, correct?

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speculation, and asked and answered.

**THE WITNESS:** Yeah. I -- I don't know where you're -- I -- I can't speak to Aspergillus,

I can speak to Cladosporium. 4

**BY MR. CORWIN:** 5

Well, you said that Aspergillus needs water, source, food source, and temperature to grow, correct?

Yes, and -- and -- but the conditions for it are a little bit more picky than for Cladosporium. So I -- let's say when I'm looking at a walk-in cooler, I can see Cladosporium everywhere, but I don't necessarily see Aspergillus, fumigatus or Aspergillus growth as much.

O. Because of the temperature?

Because of the temperature or -- you 16 know, I'm looking at an environment and I see 17 Cladosporium a lot. I don't see -- and when we do 18 air samples, let's say, for instance, outdoor air 19 samples, Cladosporium is the most common mold. 20 Indoor air samples -- and I'm going to give you a 21 caveat. You don't see a lot of spores when there's 22 23 snow on the ground outside; and, then, inside the

most common organism is Cladosporium, and 24

typically -- and, then, I'm assuming that the 25

I'm not sure about Aspergillus. I would like to be able to tell you that I -- I am sure, but I don't know.

Well, what temperature does 4 5

Aspergillus grow at --

A.

7 Let me finish. Between freezing and Q.

body temperature? 8 9

I don't -- the -- I'm not sure about the lower end of Aspergillus, whether it -- I think there may be one species that grows at the psychrophilic temperature, in other words, cooler, 12 and there's one that grows -- and, then, there's one that grows way up high, which would be 14 fumigatus. 15

It's in the right temperature range, but I don't know if it grows in that.

What about Fusarium, would conditions 18 be right or could they be right for growth on a 19 Select Comfort bed such as the one that Ralph Simon 20 slept on for years? 21

MS. FISHER: Objection, calls for 22 23 speculation.

**THE WITNESS:** Again, that would be speculation. I don't know.

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BY MR. CORWIN:

- Only because you haven't looked into 2 Q. the issue? 3
- 4 A. I don't know if it's -- if -- yeah. I don't -- I don't know if the conditions are 5 appropriate for that. It's -- it's a better plant 6 pathogen than it is -- like we see it in soils, but 7 I don't know if the conditions would be right for 8 9 it.
- O. Now, getting back to your scientific 10 study, when you design a test, you have to have 11 12 controls?
- A. 13 Yes.

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- Q. And what were your controls in this 14 15 test?
- A. There's a couple -- we had essentially 16 multiple controls. We had culture plate controls, 17 we had -- we had samples that occurred, for 18 instance, we had -- we put nothing in the -- we 19 introduced -- we didn't introduce the -- I believe 20 it's five-by-ten piece of material with the yeast 21 and everything with the Cladosporium, we didn't put 22 23 that on first.

So we took a sample after we agitated 24 the bed with nothing in it. So that was a control 25

was contaminated by the outside warehouse air?

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That -- in particular, with respect to 2 the penicillium. So the way I would interpret the 3 4 results would be that I would -- to be fair with the plaintiff, I would assume that the Cladosporium, 5 6 at least for the most part, would be from the bed, 7 but I couldn't rule that out. I would have looked to have been able to rule it out, but I couldn't. 8 So I would like to have -- you know, if -- if we 9 would have seen that the -- for instance, when we 10 were going we -- we'd see a nice increase, but we 11 didn't. I don't think the outside, the air in the 12 warehouse would have caused any diminution in the 13 levels. 14

**MR. CORWIN:** Let's take a quick break so I can get into a lightning round. Can we do that?

> MS. FISHER: We sure can. (Break from 10:19 to 10:25.)

### BY MR. CORWIN:

So one of the things we had mentioned, and I promised you we'd get back to this, is this sounds like this is not the first case that you've worked on at either Oppenheimer or Select Comfort's request; is that correct?

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That's correct, yes, sir. Α.

How many other cases have you worked Q. on?

I'm not exactly sure with respect to number. I -- I -- because -- and the reason I'll give to you is I'm doing some research, but I -sometimes I'm not told whether it's related to a case or sometimes I'm not even -- I just do some work and then that's all I hear about it, so I'm not sure.

I can specifically state that I was working -- there is one I can think of in particular where -- where I was working for Oppenheimer because I was paid by them.

- And can you give me the basic facts of 15 O. that case --16
  - A. I don't --
  - -- in your work? Q.

I wasn't appraised of the basic facts of the case, other than there was Cladosporium and the particular species was Cladosporium and I'm --I'll have to give you the spelling later, it's ferosperum (phontic). We can look it up for you to help you out. And I was asked to -- in this case it was a much smaller spot, it was a one-by-one

just to see what the background level was in the

room, and we also checked the particle levels at 2 that, and also checked the pressurization that --3

we had HEPA-filtered air in there. 4

Then we placed the material in the room and into control for any spores that may have been released while we put that in there. We did -- we purged that space again so it would be clean and -- so that was another control; and then we agitated it, and then that's when the experimental process began.

We also had controls where we did an outdoor air sample, and then we did a control where we did a sample inside the warehouse.

- But I thought you concluded in your report that the increase of Cladosporium found in the air sampling was likely from the introduction of warehouse air, did you not say that?
- I said it could have been because the -- when we were measuring the particle counts, at least in the initial part, we were keeping it low, but as -- there was a tremendous amount of activity in the warehouse, and the spore concentrations were quite high.
  - So it's possible that your experiment

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piece, and so, essentially, we did the same

experiment that we did for this one, and we didn't 2 3

see anything above background levels on that one. So I anticipated -- that's why I had

4 the hypothesis that when we doubled the surface 5 area to, let's say, 50-by-10 that I might see, say, 6

- 50 times what I'd seen in that one, but I didn't 7
  - see it. So that surprised me.
    - Was that done over a one --Q.
- A. That was --10

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- Q. -- night period, also?
- Yeah. It was, essentially, the same, 12 average sleep cycle is -- very similar test. 13
- And was that involving a personal 14 injury claim, also, do you know? 15
- I'm not sure if there was or not. 16 I -- I was just told the organism and they wanted 17 to run the test. 18
  - So you have not run this test with any other organisms, Fusarium, Aspergillus, anything else, it's always been Cladosporium; is that right?
- Yes. It's been -- it's been either 22 Cladosporium as a genus or Cladosporium as a 23 specific species with this farosperum (phonetic). 24 25 **THE WITNESS:** And I'll give you the

mattress investigation?

MR. CORWIN: Yes.

THE WITNESS: Okay. I will -- I can 3 4 provide information to make sure, because I would know that from my billing. So I'll be happy to 5

provide to make sure you're accurate on that.

MR. CORWIN: Great, thank you. THE WITNESS: Yeah.

# BY MR. CORWIN:

- So, we've talked about your investigation, we've talked about the current case, we've talked about the case where you did a similar test. Do you recall what year that was when you did that similar test?
- Um, I'll give you the exact date for sure. I recall it was probably 2013, but I will give you -- if I -- if it's different from that, I will inform you to make sure you've got it correct.
- Great, thank you. Any other work that you can think of either with regard to a case or an investigation or research that you have done with regard to mold and 22 beds?
  - Yes. The -- I've done other work for A. Select Comfort. Again, the work that I -- other

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spelling later. 1

#### BY MR. CORWIN: 2

- And we talked about the investigation 3 you did of 70, 80, 90, I think, I can't remember 4 5
  - the exact number but --A hundred and one.
  - A hundred and one different mattresses? Q.
- A. Yeah. 8
- 9 O. And you don't know whether Select
- Comfort mattresses were included in those? 10
- Well, they weren't included because 11 when I was looking at the cut -- cutouts, they 12 weren't Select Comfort ones, they were all 13
- different manu -- I mean, there were some that were 14 pretty old. I think there was some that had even 15
- horse hair on it, so that was like a really old 16
- one, and I don't think they do a lot of that, and, 17 then, there was modern, solid foam ones, and all 18
- these different chambers. It was a hodgepodge of 19 mattresses, mattress designs. 20
- And as you sit here today, you can't 21 recollect whether your investigation was requested 22 23 or directed by Select Comfort or Oppenheimer?
- MS. FISHER: Objection. I just want 24 to clarify. Which specific investigation, the 101 25

work that I've done for them has been with respect to a confidentiality agreement. So I don't know 2

- how -- what the legal rules are for disclosure on 3
- 4 that, since I'm --
- Well, are you talking about other work 5 6 on one occasion or multiple occasions that is subject to this confidentiality agreement? 7
  - A. It would be multiple occasions.
- 9 Q. And you were paid?
  - Yes, I was paid, by Select Comfort. A.
- And do you know how much total you've 11 been paid by Select Comfort? I'm looking for a 12 ballpark? 13
  - A. I -- oh, boy.
  - Over the years I'm talking about? O.
- Um, let's see, I'm going to give you a 16 range. It's -- it's more than 5,000, but less than 17 50,000, because I'll give you -- that's a big 18 ballpark, but I'm pretty sure it's not -- it's less 19 than that, and I'm pretty sure it's not under that, 20 so --21
- But you would have those records at 22 Q. 23 home or someplace, at your office, someplace?
  - Yeah. I would have to go through all of that to kind of make sure that that's accurate;

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Page 69 Page 71 and if requested and if it's legally appropriate, I talk to him to find out -can give you the rough total. MR. CORWIN: I don't want any talks. 2 2 Q. You may not be aware of this, but I want him to testify under oath. You don't get to 3 3 4 there is a protective order in place in this case, 4 decide what I ask your expert and what I don't. which prevents us from disclosing things that are MS. FISHER: I get to take a break and 5 marked confidential to anybody but a very limited talk to him and find out -- I can protect the 6 6 7 circle. 7 interests of my client and make sure that he's not Given the fact that there's a disclosing information that they would not want 8 8 confidentiality agreement and protective order in disclosed that goes beyond what the court ordered 9 9 place, can you please tell me what those multiple in the protective order. 10 10 occasions were in which you have done work for 11 11 **MR.** CORWIN: I disagree, and I think Select Comfort? what you're going to do is sanctionable. 12 12 MS. FISHER: Let me object. I'd like **MS. FISHER:** Really? 13 13 to go off the record and find out. I have a MR. CORWIN: Yes. 14 14 feeling that these are like NDAs or R&D stuff. I MS. FISHER: Get the Judge on the 15 15 just want to find out what he's got in his head and phone, I'm happy to ask him. 16 16 then we'll --MR. CORWIN: No. 17 17 **MR. CORWIN:** Well, here's the problem. 18 **MS. FISHER:** Because this is not --18 He's under oath right now, and I want to know what MR. CORWIN: I am not. 19 19 he's got in his head. You can designate it as **MS. FISHER:** This is not one of those 20 20 confidential. There's nothing I can do about it if situations in which I'm going to let you do a bunch 21 21 it's designated other than comply with the order. of discovery that you didn't do before in the 22 22 So I'd like an answer without a break. litigation and you're going to try to get through 23 23 MS. FISHER: Well, this is also not a this witness, because he doesn't know the ins and 24 24 25 fact deposition. So, to the extent that this bears 25 outs of Select Comfort, but you're going to get him Page 70 Page 72 on his credentials as an expert in this case, to try to say a bunch of stuff. 1 that's one thing. But this isn't a fishing I want to know exactly what he's got 2 expedition for fact-finding. in his head. It might be fine, and we'll come back 3 3 MR. CORWIN: Oh, sure it is. This is 4 and he'll testify. 4 a deposition of an expert, and you don't have the 5 MR. CORWIN: And I object to you doing 5 black robe on, so you don't get to make those 6 6 that. I want an answer to my question. 7 decisions. 7 MS. FISHER: Off the record, or you can keep it running if he wants to run his time. I BY MR. CORWIN: 8 8 9 O. So, can I have an answer to my 9 just want five minutes. I want to find out what he's got in his head. Let's go. question, please? 10 10 MS. FISHER: We're not going to delve THE WITNESS: Okay. 11 11 MR. CORWIN: And I'd like to note that into just blanket facts, information about Select 12 12 Comfort. there's a question pending. 13 13 (Break from 10:34 to 10:35.) **MR. CORWIN:** Yes, we are, because 14 14 15 MS. FISHER: Okay. I'm comfortable, it's --15 MS. FISHER: But it's not -he can testify. 16 16 MR. CORWIN: Because it goes to his MR. CORWIN: So before you give your 17 17 answer I'm going to make a record that over my bias. 18 18 **MS. FISHER:** That is not what the objection Ms. Fisher stopped the deposition, took 19 19 purpose of this deposition is. the witness outside, talked to the witness about 20 20 MR. CORWIN: You don't -what his answer or answers could be and then came 21 21 MS. FISHER: And I'm not going to back, while he was under oath and with the question 22 22 23 allow --23 pending. Now, go ahead, please.

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MR. CORWIN: You don't --

MS. FISHER: And that's why I want to

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MS. FISHER: I will also note that I

did not talk to the witness about his answer, what

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his answers would be, I asked him about the topic he had in his head, to determine whether or not 2 that would be appropriate for him to talk about 3 4 today.

THE WITNESS: So, I think, given the passage of time, if you could restate the question, that would help me give you an accurate answer,

MR. CORWIN: Can you read the question, please?

(Whereupon, the court reporter read back the following question: "You may not be aware of this, but there is a protective order in place in this case, which prevents us from disclosing things that are marked confidential to anybody but a very limited circle. Given the fact that there's a confidentiality agreement and protective order in place, can you please tell me what those multiple occasions were in which you have done work for Select Comfort?")

**THE WITNESS:** Okay. So you would like me to offer a characterization of the work that I did?

#### BY MR. CORWIN: 24

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I want you to describe the work that

they had a water event in -- this is three broad categories. They had a water event in their 2

building, and I assisted with figuring out what 3

4 water-damaged materials were in the building so 5

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that we could help with them removing the water-damaged materials. 6

Have you described for me, in general terms, other than the two cases that we've previously discussed and your investigation into the 101 mattresses, have you described for me the work that you've done for Select Comfort?

As I recall right now, there was some additional work. I actually went out to -- at least on two occasions, and there may be another one, where I've gone to actual homes of Select Comfort users who had concerns about their mattresses and I took air samples, and it was part of QC, we were trying to determine if there was problems in their homes; and, then, I also did work attempting to set up an experiment. So I did samples in an area where would this be an appropriate place to aggressive test a mattress to see if it would be a very -- an environment that would be very conducive to mold growth and it would be a good place to attempt to see if the quality

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Where was that? Q.

control worked well.

A. That was in a -- like a crawl space, a damp crawl space.

Q. Of somebody's home?

A. Yeah.

7 So let's talk about part of the QC Q. with regard to the antimicrobial substances. When 9 did you first start doing that type of work?

I don't have the specific date. The 10 original -- I was originally contacted, I believe, 11 through Aspen Research, which I believe did 12 13 research, quality control research through Select 14 Comfort, and I don't have specific dates on that. I can provide that to you at a later date, but I 15 16 don't have a specific date.

You can't give me a general date, if it was 2005, 2010, 2012, 2000?

Well, let's see, it was prior to 9/11. Α. So it would be prior to 2001.

Now, when you participate in these 90-day evaluations that you talked about --

Α. Right.

-- to determine whether mold can grow Q. in a Select Comfort bed, you're just not looking

you did, yes?

1 Okay, all right. Select Comfort works 2 on quality control and doing methods to prevent 3 fungal growth on their material. So I assist them 4 in their -- the work that I've done has been to 5 6 assist them in their quality control with respect to that they stress their beds with tremendous 7 amounts of water and run the tests for 90 days, and 8 9 I assist them to see if there are any cases where somebody or some -- there was -- if there was 10 insufficient antimicrobial added to a particular 11 material, so identify and say, yep, this particular 12 one they messed up with their quality control and 13 we need to -- we need to make sure that they've got 14 additional antimicrobial added to this. So I'll do 15 the testing for that. 16

I'll also do -- when they want to set up a brand-new chamber area, I'll do some testing to say, okay, this is clean enough so that you can restart work where you're going to do aggressive product control; and, then, I also assist in determining, let's say, background levels in places where they're working so that they can see if there's any problem with a building that they're planning on using; and, then, the last one is that

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- 1 for Cladosporium, are you?
- 2 A. Ah, no. The -- and -- no, and looking
- 3 at the whole system. So occasionally we'll find
- 4 that, like, the sheets that are put on the bed by
- 5 various manufacturers, sometimes the sheets really
- 6 get moldy and they tend to be more problematic than
- 7 the beds themselves. So we have to figure out
- 8 what -- what's an appropriate sheet to use on the
- 9 bed that --
- Q. But my question was, when you're doing that --
- 12 A. Yeah.
- Q. -- testing for mold --
- 14 A. Yeah.
- Q. -- for part of the quality control,
- that's what QC stands for, correct?
- 17 A. Yes, uh-huh.
- Q. You're not just testing for
- Cladosporium, there are other molds that you're testing for?
- 21 A. That's correct, and -- that's correct.
- Q. And I've seen some of them, okay, I
- 23 understand what you're talking about, and I don't
- 24 have one in front of me, but I know you're testing
- 25 for Aspergillus, correct?

- research at the time to see how -- see if they
- 2 could get anything to rapidly grow, or if we had to
- 3 spread spores on it and see if we could try to get
- 4 it grow. It was much more difficult than I
- 5 anticipated to get it to grow.
  - Q. I just asked you when you did it?
- 7 A. Oh, sorry.
  - Q. So, moving on, when you do this
- 9 quality control and you do these experiments,
- you're not differentiating between a single chamber and a dual chamber mattress, are you?
  - A. Um, I -- I'm trying to recall. I can't recall if I did many dual chamber, I know

14 I've done a lot of single chamber.

Q. But as far as the conditions for growing mold or not, the way the mattress, the bed system performs, it doesn't matter whether it's a single or a dual chamber, the conditions are the

same, correct?
MS. FISHER: Objection, calls for
speculation.

THE WITNESS: I -- I -- I guess I can't say because they are slightly different in the way that they're put together, because one typically has a -- sometimes it has a foam piece,

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- 1 A. I'm testing for a wide variety. I
- 2 just don't recall if -- if I -- at that point if I
- 3 recall if I found the -- that organism growing on
- 4 material in the bed. So if I do, upon reflection
- 5 looking at the reports, note that that was found,
- then I can revise that testimony, but I don'trecall specifically.
- 8 Q. And the testing protocols that you
- 9 followed, those were designed by Select Comfort?
  - A. Let's see, yeah. I -- I, in fact,
- 11 helped -- helped work with them to figure out.
  - I -- I guess I should add one other
- test that I did do is I did testing on small
- 14 sections of chamber material in conjunction with
- 15 Aspen, and we were trying to figure out what would
- be the best way to rapidly attempt to do QC testing
- so that we could get it done, let's say, in a month
- 18 instead of having to go out nine -- you know, three
- 19 months.

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- Q. When did you do that?
- A. That would -- I believe would be prior
- 22 to -- I think that would be prior to 9/11. I can't
- 23 give you the exact date, but I would put it in --
- 24 I'd wet it down, put it in a plastic container and
- 25 we're trying to get -- and Aspen was doing similar

- but other times they're -- they usually have a foam
- 2 piece going down the middle. So they're -- they're
- 3 slightly different.

# **BY MR. CORWIN:**

- 5 Q. Sure. But as far as where a person
- 6 sleeps -- and you can appreciate that we mostly
- 7 sleep on the same place on our beds every night,
- 8 right?
- 9 MS. FISHER: Objection, calls for
- 10 speculation.

11 THE WITNESS: I don't know how --

- 12 BY MR. CORWIN:
  - Q. You don't know?
- 14 A. I don't know how people sleep.
  - Q. But assuming people do sleep --
- 16 A. Yes
  - Q. -- generally in the same place --
- 18 A. Uh-huh.
- Q. -- every night on their bed, if it's a
- dual chamber they're sleeping on one chamber thatis constructed the same way as a single chamber,
- 22 correct?

MS. FISHER: Objection, calls for

24 speculation.

THE WITNESS: I don't know -- I don't

Case: 4:14-cv-01136-JAR Doc. #: 66 Filed: 08/28/15 Page: 23 of 41 PageID #: 810 Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 81 Page 83 know how -- I'm not privy to the exact 1 **THE WITNESS:** I'm -- okay. Yeah, this manufacturing process with respect to one versus is a bunch of years back, so I'm trying to -- let's 2 2 see, give me a moment. I can't recall, and I would 3 3 4 BY MR. CORWIN: 4 like to be able to say I did, I can't recall if --You've never differentiated, said I for a hundred percent certain, which I'd like to O. 5 5 need to test -- for this to be valid for your be, whether I took a sample from that chamber. I 6 investigation, your quality control, you've never 7 know I took an air sample in the location where the 7 said I need to do both a single chamber and a dual bed was. 8 8 chamber bed, have you? BY MR. CORWIN: 9 9 MS. FISHER: Objection, misstates Well, it makes sense, does it not, 10 10 O. prior testimony, misunderstands prior testimony. that there was mold in the bed or Select Comfort 11 11 MR. CORWIN: No, it doesn't. would not have gone to the expense of sending you 12 12 **THE WITNESS:** I don't know if I ever in there to test the air, correct? 13 13 MS. FISHER: Objection, calls for have, sir, and I'd have to think back. I can't 14 14 15 recall if I've ever made that distinction. 15 speculation. BY MR. CORWIN: BY MR. CORWIN: 16 16 So you indicated you went into a Doesn't that make sense? 17 17 O. couple of homes? I don't know. I would -- what I --18 18 what occasionally happens is individuals will Yes, I did. A. 19 19 Q. Where were those homes? suspect that there is, and given their level of 20 20 I don't know the precise location. expertise with respect to -- or the general 21 21 public's expertise with respect to differentiating One was north metro, and I think another one was 22 22

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west metro. There may have been another one, but those are the two that I recall.

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Do you recall the circumstances as to

why you were asked to go into those homes? 1

Um, one -- let's see, one person was 2 concerned about their environment and also, 3 apparently, their bed; and so we looked at the 4 environment in that place and they had a crawl 5

6 space underneath that was -- had mold growth in it, and I -- so I did a general investigation for them 7

and said we got an issue with the crawl space and 8

9 you aren't -- you aren't keeping it up, it's got moldy cardboard and everything. 10

But before you go to the next one? 11 O.

A. 12

Q. Did you look at the bed that was 13

involved? 14

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Yes, I did. Α.

O. Did it have mold? 16

I can't -- I'm trying to recall if --17

if it did. I will say -- let's see. I'm -- let's 18

see. I believe -- I want to make sure I'm accurate 19

on this. I believe I -- I -- I will --20

MS. FISHER: If you --21

MR. CORWIN: Let him answer.

**MS. FISHER:** If you don't recall, you 23 don't recall. 24

MR. CORWIN: Don't instruct him. 25

growth. That would be accurate.

Was the homeowner claiming injury, do 2 you recall? 3

between discoloration and mold that they would have

homeowner suspected that there might be mold

said we sus -- I think an accurate term is the

MS. FISHER: Objection. This is 4 starting to get into a fact deposition, which we're 5 6 not here to do.

**THE WITNESS:** I don't know.

### **BY MR. CORWIN:**

Q. But your best recollection is, you 9 can't say with 100 percent certainty, I understand 10 that, but your best recollection is that there was 11 mold in that mattress and --12

MS. FISHER: Objection.

#### **BY MR. CORWIN:** 14

-- that house --

**MS. FISHER:** Misstates testimony. 16

#### BY MR. CORWIN: 17

-- where you went in to test? O.

I don't know. I do know that -- that there was suspect -- the homeowner -- it would be accurate to state that the homeowner suspected that there was mold growth.

23 Q. When was the second instance where you went into a home? 24

> I don't recall the date. It was a --A.

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- a house that was in fairly good -- it was a lot of
- blues in it, and then we took a look -- the bed was 2
- unremarkable. I don't recall if I saw any mold on 3
- 4 that bed. The downstairs was a mess. They had
- storage, and there was problems with the storage 5
- area, and there were water leaks down there. But 6
- that's one I don't recall if there was any growth 7 8
- on the material at all.

that.

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- Any other instances where you 9 investigated mattresses or homes -- let me rephrase 10
- 12 Any other instances that you can recall where you investigated beds or homes from 13 users of Select Comfort beds? 14
- I did a -- an investigation unrelated 15 to any work with Select Comfort or Oppenheimer, and 16 it was a general inspection of a home. 17
  - Somebody else hired you to do that?
  - The homeowner hired me to do that. A.
- Q. Any other instances you can think of 20 where you investigated a bed or a home with regard 21
- to mold growth? 22 Um, there may be other ones, but as 23 I'm searching the cavities of my brain I'm not 24
- finding it right now. I'd like to be more helpful, 25

- that had been asked or any of your testimony?
- We discussed the fact that -- mostly 2 vour demeanor. 3
- 4 Q. Anything else?
  - I'm trying to think. Nothing --Α.
- nothing that I recall being specific to the case. 6
- 7 It was more things seem to be going okay, just be
- honest with your answers and -- I would like to 8
- recall more on it, but that's the general -- and 9
- then I went to the bathroom. 10
- And then when you took a break when my 11 question was pending earlier, you discussed this 12 specific question that I had asked, correct? 13
  - It was --Α.
    - Q. With Ms. Fisher?
- The main thing is she asked me what A. 16 was the bulk of the work that you did, and I just 17 said it was primarily QC work, and she says, well, 18 that's fine to discuss. 19
  - Q. Anything else that you told her?
- That was, essentially, it. I said I 21 Α. was doing QC work, and she said that was fine to 22 23 discuss.
  - Q. Okay. I'd like to ask you a few quick questions.

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- but my memory isn't a hundred percent. 1
- Have you ever given any advice to 2
- Select Comfort or Oppenheimer with regard to 3
- whether a warning should be issued to the users or 4
- purchasers of Select Comfort beds or the content of 5
- it, discuss the content of any such warning that 6
- might be issued to users or purchasers of Select 7
- Comfort beds? 8

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- Α. I don't recall doing that.
- Did you videotape any part of your Q. 10 experiment in this case? 11
- Not in this one. I did in the 12 previous study. 13
  - Q. Does that videotape still exist?
- Um, I'm not sure if that does. If it Α. 15 would, it would be on the hard drive of my computer. 16
  - Will you check for me, please?
- Yes, and I will disclose if it's A. 18
- legally allowed. 19
- Now, when you went to the break --20
- First of all, we took a brief break before there 21
- when you went to the bathroom. Did you talk to 22
- 23 Ms. Fisher during that break?
- Yes, briefly. 24 Α.
- Q. Did you discuss any of the questions 25

- **MR. CORWIN:** How much time have I been 1 going so far? 2
  - (Discussion off the record.)

#### **BY MR. CORWIN:** 4

- You don't have your reports in front 5 Q. 6 of you?
  - A. That is correct, sir.
- So if I asked you questions about your 8 9 report, can you do them from memory or would you like to have copies of your report in front of you? 10
  - I would be happy to have copies of my report in front of me if you have them.
- Q. So I'm handing you a copy of your 13 original report first. 14
  - All right, thank you. Α.
- Q. I don't know if we need to mark it, 16 and --17

MS. FISHER: You don't need one for me, I've got one.

MR. CORWIN: No, I know. What I'm looking for is a second copy of his rebuttal report, and I'm having trouble putting my hands on one that doesn't have my notes. So if we get into it and you need a copy, we'll get you one. Okay?

THE WITNESS: That sounds fine. Thank

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you, sir. 1

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#### BY MR. CORWIN: 2

- So I have a couple questions about 3 4 your original report. You indicated that a patch of bed chamber was cleaned, and then yeast, which provided nutrition for the growth of Cladosporium? 6
  - Uh-huh. A.
- And then swabbed it with Cladosporium; 8 Q. is that correct? 9
- A. Yes. sir. 10
  - Q. Which species did you swab it with?
- We didn't attempt to speciate it. If 12 A. I were to give you my --13
- You don't know, do you? 14 Q.
- I don't know the exact one. 15 A.
- Q. Okay. So the specific species of the 16 Cladosporium was not significant to you with regard 17 to performing this test? 18
- The -- what was important to me is 19 I -- I used it -- picked up the Cladosporium, we 20 had a recent air sample from a building. So I had 21 two different types that I could choose from, and I 22 picked one that had a really nice sporulation so 23 we'd get a lot of spores. So I picked the one that 24 produce -- that was a really good spore producer. 25

- I --A.
- Or was it just rubber? Q.
- I'm not sure if -- I don't know how to 3 A. 4 describe if it was just -- I don't know if it's a fabric material or rubberized material. I don't 5 know what the -- you're asking me something out of 6 7 my area of expertise. I just know it was chamber material. 8
  - Do you still have that chamber Q. material someplace?
  - I don't know if I still have that one or not. I have similar material, because we -we -- we were short of time, so we made up several different ones and wanted to make sure at least one caught so that we'd have a option to -- to do additional tests.
  - When you say made several, was it several from the same type of bed chamber material that was provided by Select Comfort or did you use other materials?
  - We used -- we use all material Α. provided by the same ones. So I cut out large sections. It's difficult material to cut. So I cut it out, and we put it in different conditions because we were trying to see which one would get

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- And the bed chamber, the five-inch 1 patch of the bed chamber you used, that was 2 provided by Select Comfort? 3
  - A. Yes.

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- 0. Do you know whether it had any type of 5 antimicrobial agent in it? 6
  - I'm not sure what we -- no. We attempted to -- I think in this case the bed chamber material was just primarily useful as a -as a surface that we could paint the yeast on. I could have used a lot of other different ones, but I chose to use that one since it would best we could to kind of replicate the -- the conditions.
  - So what was the material made out of, do you know?
- 15 I don't know what it was made out of. 16 It was the -- it was the chamber material, and we 17 had to wash it off because it was -- there's a -a -- it was kind of dusty, so we had to clear the dust off of it, attempted to rinse it with -- with 20 water, and then we dried it out enough so that we 21 could put the -- the yeast extract and, then, 22 subsequently put the Cladosporium on it.
  - Did it have any type of fabric on it, do you recall?

- the best -- the best growth. 1
  - So with regard to -- and I'm going to ask a series of questions with regard to the materials and the way you set this experiment up.

Were you coordinating your efforts

with Mr. Barnum at Select Comfort?

- The -- the extent that I coordinated the efforts with him would be construction of the -- Mike Buck actually specked out the construction of the -- the large enclosure. We worked with Mr. Barnum to -- let's say prior to our going there we asked some workers that were there to turn on the HEPA filter so it would be cleaned out well. We also asked them to clean the area, the interior of that spot so it would be clean so when we got there it would have had at least a couple hours to purge. Let's see, we coordinated with them to -- because we -- to get a rollator, and --
- Q. Who did you interact with with regard to getting the materials to construct the bed?
- It was part of Mr. Barnum's team. We just -- we -- I don't know how they got the information about what type of bed it was, but apparently they got -- somebody got some information

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about the type of bed that the plaintiff had, and then they -- again, it would probably be hear --2 somewhat hearsay testimony, but essentially they 3 4 said they don't -- they didn't manufacture it.

So part of the delay in the experiment was they had to specially manufacture that bed, and then they ran into a material that they didn't manufacture the foam that was originally on that bed anymore. So we were given some options, and

they said we have a foam that's similar, it's a 10 little more porous, and it's virgin material, and 11

I -- we said, well, that -- that will be our best 12 substitute because otherwise we'd be dealing with 13

non-orig -- non-new material. 14 15 Let's talk about that foam. You

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indicate in your report that it's a light color 16 foam? 17

Α. Yeah. It was not the -- it was not 18 the same one as the -- cause we -- we tried but we 19 couldn't get, you know, that -- that exact same 20 one. So we tried our best to get something that 21 would as close as possible represent it. 22

And you indicated that you believed -and I'm looking at the paragraph on --

Are we still on page 1?

that type of foam to find out if that, in fact, was the case. We just relied on -- on that. 2

Do you remember the individual that told you that?

It was a member of Mr. Barnum's team. Α.

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O. The rollator that you used, what were the dimensions of it?

I don't have -- I don't -- I've never 8 Α. specifically measured it. It's the -- it's the 9 industry standard. So it -- oh, let's see. I'd 10 have to measure it to tell you, sir. 11

As you sit here today, you don't know? 12 Q.

I don't know the exact. A. 13

What was the weight of the rotate --Q. 14

> A. I'd have to measure it.

16 Q. I'm sorry, I want to get this right.

Rollator? 17

> A. I'm not sure. It's the standard weight for it. I believe it's -- the actual rollator part I believe it's somewhere around --I'll give you a ballpark range, be somewhere between 200 and 400 pounds, but it's -- yeah.

When you were rolling the rollator over the bed during your testing, was the full weight of the rollator on the bed or was it less

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than that? 1

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I don't know if it was the full weight Α. or not. It -- it was compressed, at least at the end it was compressed down about like this (indicating), so it --

6 When you say -- I don't want to 7 interrupt, but I want to make a record.

When you say it was compressed, you had your hands about eight, ten machines apart?

I'm going to ballpark it because I'd have -- I'd have to look at it. I may have -- you asked specifically for video, I may have a photo of it, so that would -- of the compression on the end, so that may help provide some information that would be helpful to you, but it was -- I don't think there's any photos that are in that document that would be helpful, but if you wanted that information that would be where we could get it.

How fast did the rollator move over O. the bed?

Let's see (indicating). The cycle for it was more than a second but less than five seconds, to give you the appropriate range.

And when you rolled it, it went from one length of the bed to the other, it didn't stop

- Yes, still on page 1, the paragraph 1 that starts with Select Comfort in the middle 2 there, you said, you identified the foam that was 3 used on Ralph Simon's bed to be black foam; is that 4 right? 5
- 6 Yeah. It was darker, darker color. I guess somebody would look at it and -- maybe look 7 at it and say it was gray, but it was a dark color 8 9 foam.
- Q. Well, you put black. That's much 10 different from gray, isn't it? 11
  - A. Yeah.
- Q. So is it your belief it was black 13 foam? 14
- It's hard to tell from the picture, so 15 Α. I -- because I'm basing it on what I saw, and it 16 was either -- would either be characterized as 17 black or gray, and I chose black. 18
- How do you know it was more porous, 19 the test material you used, as compared to the 20 mattress, the foam that was on Ralph Simon's bed? 21
- I was relying on the -- relying on 22 the -- Mr. Barnum's team to provide that information. It would -- you'd have to ask -- you know, have -- you could have separate tests done on 25

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and move back and forth or anything like that, didit?

3 A. No. It rolled and then went back 4 (indicating).

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bed.

- Q. So, for the record, again, you're using your hand, you're putting one hand stationary and you're moving with your other hand at an even pace to the end. That's what the roller did, and then it moved back at the same pace?
- A. Yeah, to wherever the stopping point was, and I'd have to look at the pictures to be exact about the precise stopping point, and then it rolled back, and then it would be near the end of the -- so it would be kind of on the edge of the
- Q. Now, you identified that this was a standard industry rollator. What industry are you referring to?
- 18 referring to?

  A. The mattress manufacturing one. It's
  20 a -- the rollator at least -- I looked online,
  21 because it's not my specific area of expertise, but
  22 a rollator is used by, let's see, bedding companies
  23 to test the durability of the bed. So they'll
  24 attempt to stress a bed for, let's say, ten years
  25 worth of life in a shorter period of time than

1 A. Mike Buck gave them the specifications 2 on that.

Mike Buck is the person that worked

- Q. Tell me who Mike Buck is?
- with me on this study. He -- the consulting 5 company that he works for is I believe Mike Buck, 6 7 Inc. We are also colleagues -- and this is unrelated somewhat to this -- at the University of 8 Minnesota, and we do similar work there. But 9 the -- and I want to clarify, the work that I'm 10 doing and Mr. Buck is doing is part of a separate 11 consulting thing that we're doing and not in any 12 way affiliated with the University of Minnesota. 13
  - Q. I'm sure the University of Minnesota appreciates that disclaimer.
  - A. Right. I have to say that because I don't want to misrepresent myself.
- 18 Q. So Mike Buck has his own consulting 19 firm?
  - A. That's correct, yes.
- Q. And does he get involved in litigation consulting, also?
  - A. I don't know. I don't -- I -- I guess you'd have to ask him. I don't recall if he does a lot of work on -- most of the stuff that he does is

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- 1 actually doing ten years of mattress life.
  - Q. Do you have any of that literature or what you reviewed in your file someplace?
  - A. I don't have it in the file. It would be something that you could probably pick up off the -- off the Internet.
  - Q. Well, I'm more interested in where you picked it up?
- A. That's where I picked the information up, would be on the rollator site, and I looked at it, and then I have seen at the Select Comfort where they are testing the beds, and they have this rollator and manufacture a bed, and they're using it and they're going back and forth over it to test the durability of the bed (indicating).
- Q. This warehouse, was it on a Select Comfort property?
- 18 A. It was a rented location, as I understand.
- Q. And, then, who constructed the chamber to put the bed in and do the test?
- A. That would be Mr. Barnum's team that did the construction.
- Q. Did you give them any specifications on how it should be constructed?

- fungal identification for other different
- 2 companies, and he also assists on asbestos work,
- 3 and he also does a lot of work with hospitals
- 4 around the country on infection control issues. He
  5 doesn't -- as a rule, in my conversations with him,
- 6 he doesn't do a lot of legal work, mostly it's 7 consulting, trying to help -- help other --
- Q. So in this case you were asked to
  provide your testimonial experience either by way
  of deposition or trial for the last four years, and
  we were told you haven't given a deposition or
  testified in trial in the last four years; is that
  correct?
  - A. That's correct. I have previous to that, but it -- you looked at that window of opportunity, and I hadn't --
  - Q. How many times do you think you've given a deposition?
- given a deposition?
  A. I'll give you a range, if that helps
  out.
- 21 Q. Sure.
- A. And I want you to clarify it. Is it all depositions, because I have on occasion given depositions or testimony for the University of Minnesota, or are you taking specifically for NG

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Carlson Analytical, sir?

- NG Carlson litigational service. I'm 2 not talking about if the University was involved in 3 4 something.
  - I just wanted to clarify. A.
  - 0. Yes.

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- Okay. It is more than five and for 7 A. sure less than twenty. 8
  - Over what period of time? Q.
- That would be from -- well, one was A. prior to incorporation. So I incorporated in -- so that would be -- I'm trying to remember when I 12 incorporated. It would be on my resume. So it would be like ninety --
- 15 You have your resume in front of you, if that will help you? 16
- That will be helpful, yeah, because 17 then I can get that and give you a little bit 18 better date on that. I think that's all the way in 19 the back. Okay, vagued it out with '90s. 20

So I would say for sure since nine -let's see, I didn't do any work prior to my -- or testimony prior to my employment at the University. So, for sure, it would be post 1988 to present.

In those more than five less than

water-damaged materials on the property and that there was mold growth on those materials. 2

- Okay. And you were describing the next one?
- And, then, the next one was a -- one Α. that I didn't know what the particulars were until I saw it later. They had a -- a house that was under construction, and they had photos of it that I was aware of. It was, essentially, the basement was three-quarters under water, and they had placed a house in a -- let's say in a place with a high water table; and I was called in to do sampling on the -- in the room where they felt that there was some -- some -- somebody was experiencing some discomfort when they slept there, and I found along the tack strip and on the wallboard in that room and, then, adjacent to it that there was fungal growth and, then, I also confirmed or at least associated that with elevated airborne samples taken in that room that were a little bit higher there than they were in the upper parts of the house, and -- and I had a deposition that was fairly short.
  - Do you remember any of the attorneys, between five and twenty, do you remember any of

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- twenty depositions --1
  - Α. Yeah.
  - -- do you know if you were ever asked Q. to consult by the attorney representing the injured person, either injured because of a house property claim or personal injury?
  - Yeah, I was. There was a -- one home where the -- there was a construction defect issue. It was a very large home, and they had mold growth on the exterior wall, and the litigants were complaining about health effects associated with it.

I don't know if that was central to the case -- or I don't believe that was central to the case. They were -- they did testify to the personal injury that they had, and, then, they also were testifying to the inadequate disclosure on the real estate piece with respect to the property. So they felt that they didn't -- this goes preexisting damages. There was another case --

- 20
- Q. 22 Α.
- Q. -- do you recall what your opinion was 23 in that case? 24
  - My opinion was that the -- there was

Wait. But before you go there --

- attorneys that had retained you in any of those cases?
- A. Let's see. I got to think about the one that was --
- I'm not just talking about the two you said you were retained by the person injured, I'm talking about all of them, if you can remember the names?
- Α. I'm trying to recall the one. I know the consultant that I worked as a sub to, and his name was McDunna (phonetic), I don't recall his first name. The other one I'm not sure if I was -if I was working with Tom Conlin or not or if he was an attorney that referred me to the owners of this house. This is actually a third case where we had, essentially, construction defect in a house, and they ended up getting the house repaired; and, unfortunately, the builder went bankrupt.
  - Any other attorneys you can think of?
- I wish I remembered the one that was A. on that big house. I remember the people, the Markses (phonetic), but --
- 23 Q. That was the name of the people, the individuals? 24
  - A. The individuals who had the home.

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- Q. And the home was in the Minneapolis 1 2 area?
- It was in the Minneapolis area, yeah, 3 A. 4 a very beautiful home on a lake.
- Isn't every home in Minneapolis on a 5 lake or in Minnesota on a lake? 6
  - It would be nice. We have more than our share because we have a lot of lakes.

8 And there may be some other small ones 9 in -- so occasionally I'm asked to -- an attorney 10 will ask me to offer an opinion, they'll send me 11 a -- some pieces on it and I'll say -- they'll ask 12 me does it make sense for us to pursue litigation 13 on this or not, and I'll look at it and say it 14

- looks like they may have a pretty good case, I 15 think I'd probably -- from what I can see, it looks 16
- like something you want to settle on, or I'll say I 17 don't say why they're -- they're generating this 18
- lawsuit. But those were not depositions, they were 19
- 20 iust --
- Consulting? Q. A. Yeah. 22

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- 23 O. And you've been hired by Oppenheimer
- in the past, we talked about that? 24
- 25 Α. Yes.

1 That -- my assumption would be that they made something that was as best equivalent to 2 what the plaintiff was sleeping in. 3

- 4 Now, you say that "All materials
- Select Comfort used in making the bed were new." 5
  - That's correct. A.
- 7 0. You talked about that earlier?
  - Yeah. A.
- "The black foam that was originally 9 Q. used in the 5000 Series beds is no longer 10 11 manufactured. As a result, we substituted a yellow
- foam in the test bed." 12
- A. Yes. 13 Q. Do you know whether the yellow foam 14 that was used was manufactured or otherwise 15 impregnated or coated with antimicrobial solutions? 16
  - I don't have any knowledge of that.
- 18 0. Wouldn't that be important for you to know when you're doing this type of test, if it in 19 fact did, it could affect the test results, couldn't 20 it? 21
  - I don't know if it could, because it would affect the ability -- for instance, if we were attempting to grow stuff on it, it would affect that. I don't know -- I don't think it

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- Do you remember the names of those Q. 1 cases? 2
- Actually, no. I -- they just say we 3 A. need vou to do some work, but --4
- You don't know where the cases were 5 O. 6 pending?
- No, I don't. I -- I -- essentially, 7 I'm kind of a consultant. They said we need you to 8 9 do this kind of test, and then I do it.
  - Let's go back to your report. We're still on the first page. You indicate that "Select Comfort no longer" manufactures "the 5000 Series Sleep Number® bed." Did I read that correctly?
- And that was information provided by 14 Mr. Barnum's team. I -- I don't have any 15 particular knowledge other than that I was relying 16 on their opinion. 17
- Do you know anything about the design 18 of a 5000 Series Sleep Number bed? 19
- Oh, just -- it would be just from the 20 observation of the -- I'm assuming the bed that 21 they gave to us was a reasonable facsimile of one, 22 but it would be just from looking at the one that 23 we had in the -- the -- the --24
  - That's your assumption?

- would affect the ability of the spores to transfer, 1 because what we're looking at in this case is are 2 we providing enough mechanical energy to get the 3 spores from this Point A up to Point B, which would 4 5 be the top of the mattress. 6
  - Can you say with scientific certainty that if the pad had antimicrobial substances either coated on it or manufactured in it, it would not affect the transfer of spores?
  - I think we're talking about a mechanical process. The caveat would be is if somehow in the coating it made it more difficult for the spore to transfer. But it's, basically, a mechanical process, I'm not trying to grow it.
- Well, I'm asking you, can you say with 15 scientific certainty that it didn't matter whether 16 it had antimicrobial -- it sounds like it might 17 because, at a minimum, it could close --18
- I wouldn't say with a hundred percent 19 Α. 20 certainty, no.
- Q. I'm sorry? 21
- I could not say that with a hundred 22 Α. 23 percent certainty.
- That -- okay. And just so that I'm 24 clear, you cannot say with a hundred percent 25

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- certainty whether it had antimicrobial agents
- impregnated into the material or the material was 2
- washed with it would affect or not affect the 3
- 4 porousness of the material?

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- Right, I can't say that with a hundred percent scientific certainty. 6
  - And you can't say with -- well, I
  - understand a hundred percent, but I'm asking for
- scientific certainty, which is a reasonable 9
- scientific basis. Okay. With that definition, you 10
- cannot say that if it had antimicrobial agents 11
- impregnated in the foam or the foam was washed with 12
- it that it did not affect your testing? 13
- I would say that's correct, because I 14 don't know if it was, in fact, impregnated or not, 15 and I don't know the application method, if it was, 16 in fact, impregnated. 17
  - Do you know whether Ralph Simon's foam topper pad was manufactured with antimicrobial agents?
    - I don't know that, sir. A.
- Q. And did you ask anybody from Select 22
- Comfort? 23
- I didn't ask that question. Α. 24
- 25 Q. You did not analyze the porosity of

- manufactured by Bio-Systems. One of the heads has
- holes in it to allow deposition of particles and/or 2
- 3 fungal spores onto a culture plate; and, then, we
- 4 have another one where we put a separate media, it
- was MEA. This one we used TSA and DG-18. This one 5
- 6 we used MEA. The one we used MEA had --7 (Reporter's Note: Mr. Corwin's cell

phone is vibrating.)

**THE WITNESS:** Do you need to answer that?

MR. CORWIN: No, I do not. I'm interested in your testimony.

**THE WITNESS:** So that one has a smaller number of spores, holes than the other one, and we did that because the MEA, the growth on that media typically -- it grows a little faster and we get a little bit more crowding on the plate, so we controlled for that one and that one; and then we placed the -- or Mike Buck placed the SAS sampler at a location approximating where the head was, SO --

- BY MR. CORWIN: 22
  - Where the head was?
  - In other words, where somebody would place their head, so we put it at the front edge of

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- the foam, did you? 1
  - No, I didn't. Α.
- Talking about the next page, the test Q. 3
- method, I think we talked about this briefly, but I 4
- think we can put a time on this. You say, "At each 5
- 6 hour interval, the first door was opened and then
- 7 closed." That's the door between the warehouse air
- and the chamber? 8
  - No. We had a double door setup. So we were doing our best to minimize the amount of out. So we opened up that door to go into it, shut
- 11 that door, and then wait for Mike Buck to -- let's 12
- see, I got to look at the sequence on that. Yep. 13
- So post -- post disturbing the -- the bed with a 14
- rollator, and we brought that material in so we 15
- could catch it when most of the mechanical 16
- engineer -- energy was available to put the spores 17
- up, and then we took a sample immediately after 18
- doing the rollator. 19
  - Q. Okay. Let's try this.
- Okav. A. 21
- What type of contraption did you use 22 O.
- 23 to sample the air?
- Okay. That would be helpful. 24
- We used a SAS dual head air sampler 25

- the -- the bed. If you were looking at it for
- precision, the instrument is like this, so it would
- be slightly higher than what a head would be. So 3 4 it would be roughly -- I'm going to put it at
- approximately a little over 12 inches up on either 5
- 6 side. The sampler works best when held in a
- 7 vertical position, and then I also did -- we had a
- particle counter that was brought in to do checks
- 9 on that, on the particle levels, and we did --
- although we ended up not being able to use the 10
- results, I did use -- and it's not reported in 11 12 here, we did use a aerosol cassette one to do for
- 13 non-viable. We placed them, essentially, at
- that -- in an area approximating the location of 14 where somebody's head would be. 15
- 16 Do you have any pictures showing -- I don't see any. I'm just asking, do you have any 17
- these devices? 19
  - I don't know if I have that one. I do A. have pictures from the other one, and it was essentially we did the same design, with the exception of this time we added the -- the aerosol,

other pictures showing where you were positioning

- and previously we did not have that. 24 25
  - The other one, meaning this prior

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experiment? 1

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- A. This prior experiment with the -- to be clarify, clear, with the one-inch size as opposed to the ten -- five-by-ten size.
- I'd like you to turn to page 3 of your 5 report. 6
  - A. Sure.
- You indicate about two-thirds of the 8 Q. way down that "The highest count of 4" cubic --9
  - Colony-forming units.
- Q. Colony-forming units, thank you, per 11 "cubic meter contributes less than 5% of the 12 average indoor fungal concentration of Cladosporium 13 spp. present indoors and is not considered a 14 significant indoor source." What is your source 15 for that statement? 16
- That would be the EMLab P&K samples, 17 and I think they're -- or EM -- EMLab P&K, that 18 one, and, then, there was another one of Shelton 19 was a -- Profiles of Airborne Fungi in Buildings 20 and Outdoor Environments. So I -- let's see, in 21 **Buildings and Outdoor Environments, so that would** 22 be No. 8, which was -- I referenced the outdoor,
  - Well, you don't have a --

but there was also indoor ones on that.

do you mean when you say "is not considered a 2 significant indoor source"?

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- Okay. I can rely on my personal 3 experience, and it's -- of sampling indoor 4 environments for about 25 years, and Cladosporium 5 is the most common mold I see in indoor air 6 7 samples. So it's -- it's -- with the exception of, like, the middle of winter, I'm invariably finding 8 some levels of Cladosporium in the indoor 9
- environment. Typically, we're going to see it in 10 the range of 100 to 200 colony-forming units in the 11 indoor environment; and in the outside the range 12
- is -- and I'm talking both culturable and not 13 culturable. The culturable would be the agar 14
- plates. The nonculture would be like the aerosol 15 samples. And outdoor I would be seeing anywhere 16
- between -- it's extremely rare to do an outdoor air 17 sample, with the exception of during wintertime,
- not to find Cladosporium, and the counts would 19 typically be in the range of minimum of about a 20
- hundred spores per cubic meter to several thousand 21 22
- and then a range that's in -- it's typically in the range -- the 95th percentile is typically around 23
- the -- about six thousand, and about the 5th 24
- percentile is close to about a hundred.

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Yeah. I should have had a reference A. 1 2 point.

- You don't have a reference point 3 Q. there?
- 4 Yeah. Α.
- 6 O. But that's your testimony now, is you're referencing the --7
- A. I believe that is correct, and I 8 9 will --
- Q. Let me finish the question, please. 10
- Sure, go ahead. 11 A.
- I'm sorry. 12

You don't have a reference source 13

- identified in your report, but now you're 14
- testifying that you believe it was from the EMLab 15
- P&K report under footnote 5 and the one identified 16 at footnote 8? 17
- I would have to double-check on that. 18 and I can provide that to make sure that we are 19 accurate with respect to that. It was not 20 footnoted, so --21
- 22 Because you a little bit later, and I
- 23 wanted to ask you about this, but --
- Uh-huh. 24 A.
  - Q. -- let me back up a little bit. What

So it's incredibly common to find it. You can find it in carpet dust and -- so it -- that number ballparks with what we've -- what I've seen over a quarter of the century sampling for this organism.

- Are you aware of any sampling that was done at Ralph Simon's house?
- Um, I am aware that -- I'm not exactly aware of the technique that was done. I'm aware that -- that -- and, again, I don't know the person's name, but he was the individual that was hired by I believe Oppenheimer to do sampling. I didn't look specifically at the technique that he used to sample, but I'm aware that he did do some samples.
- Are you aware, as you sit here today, what the results of his sampling was?
- I believe the technique that he used indicated that he was surprised not to find any during the sample period that he took, but that would be my belief on the --
- Fair enough. So a little bit later you talk about "The median outdoor Cladosporium spp. concentration in the U.S. in clear weather is 610 spores per cubic meter," and there you

Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 117 Page 119 reference the EMLab --Yeah. error in the report? 2 A. 2 There is an error in -- and I've got Q. -- report? 3 Α. 3 4 Α. Yeah. 4 to double-check here and make sure. There is one So in that report, this reference, 5 Q. 5 you're talking about the entire U.S.? here, that the designation -- it was a 6 6 7 Correct. 7 cut-and-paste error. The designation for the Α. You're not talking about the Midwest? location and, then, there's an indicator of the 8 Q. 8 A. In -- in that particular case, 9 9 although that guide does have stuff for areas close it should not say DG-18, that should be all MEA. 10 10 to -- they don't -- they don't have enough data 11 Q. Oh, because DG --11 particularly in St. Louis. So they have got data Because if you look at the --12 12 A. on, I believe, Michigan and Ohio, but they don't What does DG, what would it stand for? O. 13 13 have closer, so --14 14

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Actually, you say Illinois and Ohio? 15 Q.

Or Illinois, I'm sorry. Thank you for A. clarifying that. I -- some Big Ten school.

Could you go to your first exhibit,

and --19

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Α. Which one is that, is that the --

Q. It's air sample results.

Thank you, sir, yep. A. 22

I would just like a brief explanation O.

of what I'm looking at here? 24

25 A. Okay. Let's see, you are looking at understand what I'm looking at. Where is there an

error in the report in that -- yes, double-check

type of media. From 1 through 14, yeah, down to 3,

And on page 2, that is what a DG-18

Dichloran Glycerol Agar. Α.

> Q. Oh, I see.

and TSA, so in the controls that I list at the bottom there for MEA. So that would be an error, it was a cut-and-paste error, that should all be --1 through 13 should all list MEA as the -- as the

dash afterwards. 21 22 Q.

A.

Does that help you out? A.

But is 14 correct or not correct? Q. 24

> A. Let's see, 14, where are you talking

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Page 120

the Exhibit 1, air sample. MEA means malt extract agar. So that was a type of media that was used, and that was chamber study, and the date was 3

May 20th, and then these -- and then I indicate 4

when I check the growth on the -- on the agar 5

6 plates post sampling, so that would be the topper. The next part, location, tells where I 7

took the sample. The volume tells the amount of air that was pulled through the sampler. The next one is the normalized amount of colony-forming

10 units per cubic meter. In other words, if I took a 11 hundred litters, then whatever count I got on the 12

plate I'd have to multiply it times ten to get the 13

colony-forming unit per cubic meter for samples 1 14

and 2. For samples 3 and 4 and all the way down on 15

that page I didn't need to normalize the data 16 because we took a full cubic meter of air, 17

essentially, a thousand litters. 18

The next section would be the 19

organisms that were identified, and I think they do see one error on the exhibit part in that it says

21 22 MEA, but it should not say that, it should just say

air samples because they look -- at least the media 23

that's listed there --24 25

O. Where do you see that? I just want to

about? 1

I was just looking at 1 through 13, 2 Q.

the way I understood your --3

A. Oh, 1 through 14, yeah. 4

> Q. One through fourteen is?

6 A. They all --

> Has the error? Q.

They all should be MEA and, then, the control plates that we have are MEA. So that

should all be MEA and not DG-18.

And, then, the next one was the TSA? 11 O.

> A. The TSA, slash, DG-18, yep.

Q. And what does the TSA slash --

Trypticase soy agar, and the DG-18 is Dichloran Glycerol Agar. The DG-18 is used because 15 16 of the growth characteristics on it, it grows a 17 little bit slower and allows for identification of the -- the colonies a little bit better because 18 they don't tend to overcrowd on the plate. TSA is 19 a standard one used for both bacteria and -- and 20 fungal organisms. 21

So here's my question for you. Under both the MEA and the DG-18 TSA, you've got multiple dates that you checked the samples, and then you record the numbers --

A. Uh-huh.

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-- of the organism and you identify 2 Q. the organism found. Which days were these found? 3 4

Can you separate from May 26th through May 29th and 6/3?

The -- you can't separate that. Α.

The -- what the -- the 26 was a preliminary count,

and I did a preliminary -- the growth of the

colonies in some of these cases would be enough to 9 get a count, but they would need to go a little bit 10

longer for the identification. So I would see 11

something that looked presumptively like 12

penicillin, but then I'd have to do a tease tape 13

sample after it grew up enough so I had enough 14

spores to see if it was growing. 15 16

Did you record -- like I saw you bring in a notebook today.

A. Yeah. 18

Did you record any type of notebook or Q. 19 on the computer what you saw on each one of those 20

days? 21

It would be notes that would be -- in 22 two particular cases, if there was no change I 23 wouldn't see any change on it. I did --24

Did you record that, though?

what the particle levels were in the -- I'd say the

2 rollator area and, then, the warehouse area, and

Page 123

Page 124

then I would periodically check it with a -- a HEPA 3

4 filter on the end of the intake for the particle

counter, and it was a check to see if -- for us, if 5 6 we were doing a good job of getting the particle

7 levels low enough so that we were in -- it was low

enough so that we could -- we wouldn't get all the 8

clutter from what was in the warehouse in the 9

chamber areas, we would get at least some idea of 10

11 what was going on, because we were sampling at really high volumes of -- you know, a thousand 12

litters, and if we took a sample of that like in 13

the warehouse, it would be completely overloaded, 14

so I wouldn't be able to read -- do an accurate 15 count. So I wanted to make sure the particle 16

levels were low enough so we could get an accurate 17 18

Could you explain that a little bit 19 Q. more, please? 20

Sure. We used HEPA-filtered air 21 that -- I guess we'll have to come up with a --22 let's say that -- we'll call it roughly the clean 23 room. We used HEPA-filtered air, brought it into 24 25

the clean room to purge it out to reduce particle

Page 122

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Yeah; and, then, if I -- and, then, in some cases where I -- there was a change, I -- at

least that I recall on this one I wrote some -- a 3

slash in red and changing or at least making the 4 identification because penicillium and Aspergillus 5

6 look real similar when they start growing, but then

when I'm able to separate it out, then I'm able to 7 separate out between penicillium and Aspergillus. 8

9 My question was about your notes.

Where are those notes? 10

I'm not sure exactly. I believe 11 they're in a file cabinet. 12

Q. Did you give them to Oppenheimer or 13 Select Comfort? 14

No. The only thing I gave to them was 15 Α. this (indicating). 16

Q. Then there is another exhibit 17

labeled ---18

19

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Exhibit 2. Α.

Yes, Exhibit 2. 20 Q.

Yeah. A. 21

And it says particle counts? 22 Q.

23 A. Yep.

Q. What are you doing here? 24

> What we're doing is checking to see A.

levels, and we positively pressurized the room while we were doing it so we'd prevent particles

that were in the adjacent warehouse area, at least 3 when we're purging it, from entering into the room. 4

Q. When you purged it, would you purge particles?

Yeah, we'd purge particles because it A. would be pushing -- we would be providing clean HEPA-filtered air into the space and push out the other particles. We did that initially at the start of the test, and then the other time that we purged it was after we inserted the -- the first sample because we were concerned -- you know, we were exposing the chamber material to the air and we put it in there and we wanted to purge it one more time so that when we ran the study it would be just the vertical movement of the spore through the -- the mattress up to the top that we were measuring.

Q. Where do I see that you purged it, what time?

Let's see, the purge was done 5, "Inserted sample - Post HEPA run for 15 minutes." So we ran the HEPA filter after we inserted the sample, and -- there, yep.

Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 125 Page 127 1 Q. At 3:08? 1 Α. So now we're going to -- you're going Yeah. to page 1, Exhibit 4, correct, sir? 2 A. 2 Now, the picture -- you remind me of a O. Any other time you did the purge? 3 O. 3 4 A. The purge was right at the beginning 4 question. The picture right before, was that taken of the experiment, too, and that was done -- let's before, during or after you ran the experiment? 5 5 see, that was done to clean the room out so that we A. Picture No. 6 or -- the page 6? 6 6 could have a low count and we could get started 7 Q. The one with the door open with the 7 right away, rather than sitting two hours and back of an individual. 8 8 waiting for the -- the -- the room to clean out. Yeah, that's after it was -- that's 9 9 Α. What was unique to the design of the after it was done. 10 10 room that it would clean itself out, if given time? Q. Okay. So turn to the next page. 11 11 Um, the room was a reasonable side 12 12 A. Sure. and we were -- size and we were able to push a You got I call them petri dishes, 13 13 O. significant amount of air through. I think Mike but --14 14 Buck would have the -- probably have the 15 15 A. That's fine. information about the exact volume of air. We were 16 Okay. And you're showing mold, I take 16 Q. able to pressurize the space so that when we were 17 it? 17 running it we were pushing the particles out and 18 Yeah, and they're in sequence. The 18 you could not -- we had -- we kept the door open amber colored one is the malt extract agar, the TSA 19 19 slightly with the air pouring out because it was is the trypticase soy agar, and the one on the end 20 20 impossible to close it and make the room -- so it is the DG-18 agar. 21 21 was tight enough so that we were able to push the Q. And that's Cladosporium? 22 22 door out through the two doors. Right. 23 23 A. So could you turn to Exhibit 4 for me, Q. Q. And that's what Cladosporium looks 24 24 please? like? 25 25 Page 126 Page 128 Right. Α. 1 Α. 1 Okay. Pictures of I believe what you've 2 Q. 2 Q. And, then, the photo underneath is -described as mold? 3 3 I took a photo of each one of them as I took a A. Yeah. 4 4 Keep going. tease tape off of the culture. 5 Q. 5 Exhibit 3? 6 Α. 6 Did you use your phone-with-the-flip 7 I'm sorry, 4. 7 method to do that? Q. Oh, no. That one I actually -- it's 8 Α. Four. 8 9 Q. Yes, the one with the petri dishes, 9 better quality. I had a nice, high-end microscope that I took and I took the photo. keep going. 10 10

- A. Oh, okay. Well, the one before that gives you some idea what the rollator -- about how -- how depressed it was.
- 14 O Cotobo
- 14 Q. Gotcha.
- A. In answer to your question that you
- had. But then the other one, page 4 also gives you
- some type of idea, and that's Mr. Buck.
  - Q. Okay.
- 19 A. That isn't how we ran, but these were
- samples -- pictures taken afterwards, after we'd completed the --
- 22 Q. I see.
- A. Oh, and No. 5 also gives you a
- 24 picture.

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25 Q. Okay.

- Q. And, then, if you go to -- keep turning, please.
- 13 A. Okay, yep.
- 14 Q. There (indicating).
  - A. Yes.
- Q. That's Cladosporium, and that's what
  - it looks like?
  - A. We're looking at page 4?
- 19 Q. Yes, sir.
  - A. Okay. And I have the 3, slash, 1000.
- 21 Q. Yes.
  - A. The one with the dark discoloration
- 23 right under the letters MEA, that is the back side
- 24 of Cladosporium; and, then, the next one, the dark
- 25 discoloration above that blue green one and off of

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Neil Geoffrey Carlson - August 7, 2015 Ralph Simon vs. Select Comfort Retail Corp., et al. Page 129 Page 131 the middle one, that is the top view. So that's 1 A. Does that clarify? the Cladosporium. Sure, thank you. 2 2 Q. O. So just so I understand --3 A. Okav. 3 4 Α. That one and that one (indicating). 4 Q. And, then, the next page, what are we This is the back side, and this one looking at? Q. 5 5 right here, that's (indicating) --A. We're looking at page 7, No. 10; is 6 6 That's the top side. 7 that correct? 7 A. That's the top side. And, then, the 8 Q. 8 Q. Yes. bigger samples in the bottom picture, what are Okay. We see a lot of black X's, and 9 9 A. those are spots where we're catching penicillium those? 10 10 A. They would either be penicillin or and Aspergillus; and, then, the Cladosporium is in 11 11 Aspergillus, and I'd have to look at the sample. the upper left, underneath that white colony. 12 12 I see, good, thank you. O. I see. 13 13 Then if you turn to the next page, A. And, then, the reverse on that is the 14 14 sample No. 4, what are we looking at there? dark discoloration to the right of the label. 15 15 Yeah. We're looking at -- well, we're So the ones with the X are the 16 16 looking at the photomicrograph from sample No. 3, Aspergillus and the penicillium? 17 17 and, then, from sample No. 4 we're looking at the Yep, primarily, and I'd have to 18 18 double-check, but that -- yeah, because I was just back side of the culture plate for sample No. 4; 19 19 and the dark discoloration underneath the word TSA trying to get some -- some rough counts. 20 20 is the Cladosporium. I see. And, then, the next page, 8, 21 21 O. Okay. Then the next page, the top 22 sample 11? 22 picture, what are we looking at? 23 23 A. Sample 11, that one --We're looking at a Cladosporium spore You have three pictures, starting with Q. 24 24 and, then, some other organisms, and, then, the --25 the top left going across and down --25 Page 130 Page 132 Well, what are those other organisms, O. 1 Α. 1 can you tell? -- the one that says MEA 11/1000? 2 2 Q. Yeah, and I want to look at that to One could be yeast or bacteria. 3 3 make sure that I've got the MEA, just a second. They're the brightly colored red ones, and there's 4 4 a little slimy yellow one, I believe, up on top. Okay, okay, okay. 5 5 That one, 11/1000, there's a -- this 6 The other one is --6 Is that yeast, the yellow one, also? 7 one, the back side of the Cladosporium is obscured 7 Q. It could be yeast or bacteria. We by the -- the label. So it's on the upper right 8 8 9 weren't particularly concerned about it. 9 side of the label. Q. Okay. Q. I see. 10 10 And, then, the bluish one probably --And, then, if you go the reverse, it's 11 A. 11 Q. Top left or bottom right? the one -- there's a bunch of -- let's see, 12 12 A. Top left, as I look at it, probably probably penicillium, because they produce the 13 13 penicillium, not sure about the middle one there. 14 14 I'd have to re-tease tape that one; and, then, the 15 O. Sure. 15 16

- bottom lower looks like Alternaria, and I think the 16 one on the right is either penicillium or 17 Aspergillus that's right above it, the kind of 18
- bluish-green one with a white perimeter. 19 So you keep saying penicillium. 20 Q.
- That's different than penicillin? 21
- 22 Oh, penicillin is the drug that's produced from penicillium chrysogenum. That's the 23 one used for an antibiotic. 24
- O. I see. 25

- exudate. Do you see the kind of glistening stuff?
  - A. Okay. It's the one sandwiched between the glistening ones, it would be upper left.
  - Q. Gotcha.
- And, then, this would be the photo 19 Α. micrograph of that organism (indicating). 20
- Which organism? Q. 21
- The Cladosporium. 22 Α.
- 23 Q. Okay, thank you.
- 24 A.
  - Q. And in the top right picture, do you

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see Aspergillus in that picture? 1

I'll check -- I'm going to check the results on it, because I'm not sure if it would be Aspergillus or penicillium because they look similar in colony. So that one is page, sample 11, and this one is MEA. So sample 11 MEA, Aspergillus and penicillium were both present.

- Okay. I'd like to ask you a couple questions about your rebuttal report, if I may?
- Sure. Is that -- oh, that's the one we don't have, but we'll try from memory.
- It's the only one that I have, that 12 has writing on it. 13
- Okay. 14 Α.

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- 15 Q. I'm sorry.
  - A. That's okay.

MS. FISHER: Can I interject for just 17 a second? I have a clean copy if you want to take 18 a look at it. I haven't written on anything. 19 **MR. CORWIN:** If he needs it, sure. 20

**THE WITNESS:** That would be helpful,

because it was helpful in this case. 22

MS. FISHER: If that's okay with 23 everyone. Do you want to take a look at it first? 24

MR. CORWIN: No. I believe you if you 25

sample. I'm not aware that she did any air

sampling, and so she -- I -- it's impossible or 2

it's not possible to infer because there -- she 3

4 identified growth two months after examined it, his

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bed, on a tease tape sample, it's not possible to 5

infer that because it's located there that we have 6 7 exposure here (indicating). If we could -- she

could state that there's a potential for it, but I 8

don't -- I'm not aware that she did any air samples 9 to indicate that it vertically transferred. 10

- What's the source of that information that you just relayed to me?
- The -- I saw the -- and we discussed it, the lab results from EMLab P&K that said Cladosporium on the tease tape sample. That's the only thing that I know that she did. If she did something else, I'm not aware of it.
- You take issue, at the last sentence, that the upper layers of the bedding material was not analyzed, you would take issue with that fact?
- I -- I -- to my knowledge, I had -have not seen any reports that the upper levels of -- in other words, the topper of the mattress or any of the other parts were analyzed, I have not been made aware of that.

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say there's no notes on it. 1

**MS. FISHER:** I just got the binder 2 3 this morning, so --

THE WITNESS: All right. So are we looking at page 1, sir, or where are we looking? 5

**BY MR. CORWIN:** 

- Yes, page 1, please. Q.
- A. You've got photos, those are pictures later.
- Q. Yes. 10
- Okay, go ahead. 11 A.
- So you indicate that "Tests conducted 12
- by the plaintiff's expert, Patsy Duncan of 13
- Fungus-A-Mungus, fail to show how spores at this 14
- location in the bed caused exposure above normal 15
- background levels in the breathing zone above the 16
- bed." 17

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- Α. Okav.
- What's the basis for that statement? 19 O.
- Have you read her reports or have you seen a 20
- deposition that she's given? 21
- I got the -- this is what I've seen 22
- 23 from her. So this would be the basis for my -- my
- piece on it, is that she did do a tease tape 24
- 25 sample. I'm only aware that she did a tease tape

- So you place significance in your rebuttal, next paragraph down, that according to 2 the Hemming report, he is also exposed to Cladosporium at his church and his office?
  - Yeah. During the -- and an air sampling is really tricky, but it's really easy to get like a false negative because you're only sampling -- I think I alluded it to like a football game. You could be watching a football game on TV and the spore counts would be as interesting as the time out before the punt, right after a punt, so you don't get anything, and then a moment later you're going to see a lot of activity, like a punt blocked and returned for a touchdown. So you get high levels of concentration.

Aerosols tend to be -- or bioaerosols in particular tend to be really low and then really high, then really low, then really high. So they vary logarithmically to bounce -- bouncing up and down. You can sample minutes apart and get completely different counts.

My question was, as part of the basis for your opinion to rebut Patsy Duncan's tests, are you relying upon the Hemming report indicating that Ralph Simon is also exposed at his church and his

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office?

- 2 A. Yes.
- O. And if for some reason that report is 3 4 inaccurate or not relevant to Mr. Simon's exposure, would you agree that that basis for your opinion is

not proper? 6

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- A. I don't think so, because it would be incredibly -- I'd say, unlikely for him to be exposed at some time to Cladosporium there given the ubiquity of that organism and the environment.
- You take issue with the fact on the next page that "humidity levels were not controlled during storage." What's the significance of that?
- 13 Well, and I'm relying on at least a 14 summary description of what -- how the material was 15 stored, and correct me if I'm incorrect on it, but the -- my recollection is that he indicated that the -- the -- we're going to call it the foam don't know the sequence about photos, but after noticing that that he placed that material in a bag. I'm inferring from the slimy that it would contain moisture, and that's an inference that I 23
- 16 17 18 material was slimy and that he -- after taking -- I 19 20 21 22
- made; and, then, if you take an object that contains 24 moisture and put it in a plastic bag, that creates 25

- later was Cladosporium, I would trust them to 2 indicate that.
- 3 I don't -- it's hard to -- you know, 4 in an ideal case for all of us here it would have been really great right when he opened it up to 5 take the sample and then we'd have a pretty good 6 7 idea, we'd say, okay, we're sure. But we don't know what transpired between those two months, and 8 I'd really like to know that. 9
  - My question was, you can't rule out that what he observed when he took it apart --
- 12 A. I can't rule --
  - O. -- was mold?
- -- it out completely, no. We don't 14 have -- we don't have enough information. 15
- For the mold to grow in the bag, it 16 would have to continue to have a water source, 17 18 correct?
  - A. Well, and it would depend on how -yeah, how tightly sealed the bag was. If the bag was tightly sealed -- for instance, I had my clothes at a retreat that were kind of damp that were placed in a bag, and if the bag maintains moisture you can -- it gets pretty funky after a while. So it would depend on how tightly the bag

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- an environment that could be conducive to mold 1 growth. 2
- Q. Well, in your assessment of this, what 3 do you think the slimy black stuff was? 4
- I don't know. I -- I would be very 5 curious. So, essentially, I'm not sure if it's 6 bacteria, because bacteria can be slimy and black. 7 I don't know. So I would -- for instance, I get a 8
- 9 lot of questions about we'll get black deposition right by a supply diffuser, and I'll say I don't 10
- know if this is dust or if it's --11
  - Q. Mold?

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- -- mold, and so I'll have to tease 13 tape it, and I've been surprised, okay, it's just 14 dust or I'll tease tape it, huh, it's mold, and 15 typically it's Cladosporium. 16
- So you can't rule out as you sit here 17 today that the black slimy material that Ralph 18 Simon observed when he first took apart his bed was 19 mold? 20
- The only basis that I have for it 21 would be the result that occurred -- you know, the 22 23 sample that occurred two -- two months later; and I will -- I trust the work of EMLab P&K, and if they 24 said that tease tape that was taken two months 25

- was sealed. 1
- Okay. Well, I need to explore that a 2 little bit. If it's tightly sealed, I think what 3
- you said is the moisture that's already present 4
- can --5

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- Α. Facilitate.
  - -- facilitate the growth of mold? Q.
- A. 8 Yes.
- 9 O. If it's not tightly sealed and the
- moisture is allowed to evaporate or dry, it's not 10 going to --11
- 12 A. The -- yeah.
- Q. -- facilitate the growth of mold? 13
- The looser the seal, the less 14
- likelihood; and the other part is the conditions of 15 storage weren't controlled. So I don't know what 16 the humidity was in the garage, either, so --17 18
  - You say, "From the information available, it is impossible to determine the start time for fungal growth on the bed." Is that based upon because there was no testing immediately when he found it?
  - Α. That's correct, yeah.
  - And that's the sole basis for that? Q.
    - Yeah. Well -- well, the -- the other A.

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- basis would -- would be -- yeah, we just don't
- know. We know we have it two months later. We 2
- have no knowledge of any time after that -- or 3
- 4 previous to that. I think for all of us it would
- be helpful to have that. 5

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- You do indicate, though, under your 6
- Analogy, second, that "the Plaintiff took doctor 7
- prescribed prednisone." What's the source for 8 that? 9
- Um, I believe that was part of the Α. 10 summary that was provided to me by the --11 Oppenheimer. 12
  - And you say, "Sweating is a known side O. effect of this drug," and then you reference it to footnote No. 7. Did you do that research or was that research provided to you, also?
- No. That was something I looked up; and, then, I've also personally taken Prednisone, and that was a side effect that occurred for me, as I sweated a lot and my shirt was soaking wet. 20
- And, then, the next sentence I find 21 interesting. You say, "The chamber material has 22 stains consistent with moisture exposure from 23 drooling, sweating or some other source." 24 25 So, what we can say as we sit here

- about. I -- I -- my understanding was that
- there is a -- that there is exposure to Cladosporium
- and that that -- and he was concerned about that 3
- 4 affecting his health.
- Okay. You haven't read the Complaint, 5 I take it, in this case? 6
  - Α. No. I have not.
  - But do you now understand -- or maybe O.
- I have to tell you for the first time, the claim, 9 the allegations, in part, in this case is that the 10
- Select Comfort bed is defectively designed such 11
- that it's conducive to the growth of mold, are you 12 aware of that? 13
  - Α. Oh, I am now that you inform me.
- There is no allegation that there are 15 Q. other beds that were manufactured by other people 16 that cause mold exposure or were defective, are you 17 aware of any of those? 18
  - A. Um. MS. FISHER: Objection, vague.

**THE WITNESS:** Yeah. I don't --MR. CORWIN: Okay. I'll rephrase the

23 question.

THE WITNESS: Yeah.

MR. CORWIN: Because I'm really trying

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- today that at some point there was moisture in that area from the foam topper pad to the air chamber? 2
- 3
  - I would say there is evidence that would suggest because of the staining that that would be correct, that at some time there was no
- Enough, in your opinion, to cause 7 Q.

moisture that got to that.

- staining? 8
- 9 Α. Yes, yes.
- You indicate that "Dr. Pastore renders 10 his opinion without performing any tests on the bed 11
- itself, nor does he compare fungal levels in 12
- competitor mattresses of the same age used under 13
- the same condition." Did I read that correctly? 14
  - A. Ah, ves. That was my understanding.
- O. Did you read his report? 16
- I believe I had a summary, and I can't A. 17 remember if I read the full report. 18
- Again, that was provided by your 19 attorneys, right? 20
- That's correct, yeah. A. 21
- You understand that at issue in this 22 Q.
- 23 case is the design of the Select Comfort bed, right? 24
- A. Um, if that's what you're talking 25

- to rush, and it's my fault, I always get myself in
- trouble trying to wrap up. 2 **THE WITNESS:** Okay. 3
  - **BY MR. CORWIN:**
- Q. Are you aware of any allegations in 5 6 this case that traditional beds, box springs and 7 mattresses are defective?
  - I -- I -- I haven't been told about anything, and I haven't read that thing so I don't -- I wouldn't know.
  - And then you say -- and you're critical of Dr. Pastore for not comparing fungal levels in competitor mattresses of the same age used under the same condition. Did you do any testing of competitor mattresses for fungal growth under the same conditions?
- 17 And -- no, I did not. This study I had was an observational one. I've never been 18 given the funding or the time to do side-by-side 19 comparisons under --20
- When you say competitor's mattresses, 21 are you talking about other air bed systems? 22
- 23 Α. I'm just talking about beds in general. 24
  - Q. Beds in general?

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A. Yeah, if that clarifies it for you.

And I'm curious. I understand the 2 Q. points you're making in the report, but why is 3

4 this exhibit, these two photos, why are they

attached to your rebuttal report? 5

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Oh, it was showing that Cladosporium is generally found in the environment and incredibly difficult to -- or it's a organism that grows on a lot of different surfaces, and it's very difficult to prevent it from growing on surfaces. Does that kind of clarify?

Where did this picture come from, this top one (indicating)?

This one in particular is a walk-in 14 cooler. I don't know the exact -- don't recall the 15 exact location, but it's -- essentially, there's 16 dust on the surface of the walk-in cooler, and then 17 I happened to take a tease tape on it. I recently 18 did a couple other coolers, and they're growing on 19 about everything, and it was Cladosporium, so --20

Do you agree that mold exposure, including Cladosporium, can cause destruction of a person's sinus tissues?

Α. I --24

MS. FISHER: Objection, foundation.

1 people.

> Q. In fact, you're participating in studies now with Select Comfort to determine whether the materials they're using in their beds now can cause mold growth, right?

MS. FISHER: Objection, misstates prior testimony.

**THE WITNESS:** I am testing it to make sure that the quality of the material that they're doing, that the QC process is working so that the antimicrobials are working.

#### BY MR. CORWIN: 12

So --O.

That's what I'm doing. Α.

Q.

Were you asked to give an opinion as to whether a warning should have been given to Ralph Simon when he purchased his bed with regard to the possibility that mold could grow in the bed?

I wasn't asked.

O. Do you have an opinion?

Other than I would anticipate that it's likely that there would be mold growth in all beds -- or not all beds, I'd say a significant portions of beds during their lifetime, because

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**THE WITNESS:** I don't know about that. 1 That would be a medical doctor would do that part. 2 **BY MR. CORWIN:** 3

Would you agree with me that a 4 manufacturer of a bed cannot needlessly endanger 5 6 its customers in the public that's going to use it? 7

MS. FISHER: Objection, foundation. **THE WITNESS:** I don't know --

9 **MS. FISHER:** Well outside the scope of this deposition. 10

**THE WITNESS:** I don't understand what 11 you mean by needlessly endanger. 12

#### BY MR. CORWIN: 13

Okay. Do you agree that a 14

manufacturer of a bed must test its products to 15 make sure it is safe for the people that use it? 16

MS. FISHER: Objection, foundation, 17 outside the scope. 18

**THE WITNESS:** What -- what safety are 19 you talking about, with respect to --20

#### BY MR. CORWIN: 21

Just in general, do you agree?

23 Yeah, that it doesn't have iron spikes that make people feel awful. Yeah, I'm sure it 24 25

shouldn't -- shouldn't hurt people, physically hurt

it's like if I wore the same shirt for 13 years, I'd expect it to have some wear and tear.

Do you have an opinion as to whether 3 Select Comfort should have provided a warning to 4 Ralph Simon, knowing what you know, and that is 5 mold can grow, develop in the Select Comfort beds? 6

I do not have an opinion.

**THE WITNESS:** May I get a glass of

9 water?

MR. CORWIN: I actually -- you can get 10 a glass, but I don't think I have more than two or 11 three more questions. 12

**THE WITNESS:** Okay.

MR. CORWIN: I don't want to stop you 14

15 from hydrating, so --

**THE WITNESS:** Yeah, otherwise you 16 won't be able to understand me. 17

(Reporter's Note: Witness gets a 18

19 glass of water.) 20

**MR. CORWIN:** Better, better? THE WITNESS: Better. MR. CORWIN: Okay.

### BY MR. CORWIN:

Have you taught any classes with regard to corporate responsibility?

	Page 149		Page 151
1	A. No, I have not, sir.	1	I, NEIL GEOFFREY CARLSON, do hereby
2	Q. Do you have any training or education	2	certify that I have read the foregoing deposition
3	in corporate responsibility or responsibility of	3	and found the same to be true and correct except as
4	retailers?	4	follows, (noting the page and line number of the
5	A. No, I don't.	5	change or addition as desired and the reason why):
6	Q. Do you agree with me that if a	6	Page Line Correction
7	manufacturer of a bed knows that its beds can grow	7	
8	mold and knows that there are people who are buying	8	
9	those that may be allergic or sensitive to mold,	9	
10	that they have a duty to warn the potential	10	
11	purchasers of the bed?	11	
12	A. I think all bed manufacturers would	12	
13	have to issue that would have to state that,	13	
14	because they can't control the conditions of use on	14	
15	their beds.	15	
16	Q. So you agree that a manufacturer of a	16	
17	bed with that knowledge should provide a warning?	17	
18	A. No, I don't think so. It would be	18	
19	it would be like a manufacturer of stainless steel	19	
20	saying if you have this metal and you put it in an	20	
21	environment that's wet and it gets dusty that there	21	
22	would be mold on it. I it's it's	22	
23	Q. You think a bed is similar to a	23	
24	stainless steel counter?	24	
25	A. I think I think it would be	25	Date: NEIL GEOFFREY CARLSON
	Page 150		Page 152
1		1	STATE OF MINNESOTA )
1	foolish or it's it's a let's see. Mold	1 2	· · · · · · · · · · · · · · · · · · ·
2	foolish or it's it's a let's see. Mold will grow where you have spores, dust and water;		STATE OF MINNESOTA ) )ss. CERTIFICATE COUNTY OF DAKOTA )  BE IT KNOWN that I, Jean F. Soule, Registered
2	foolish or it's it's a let's see. Mold will grow where you have spores, dust and water; and manufacturers can't control how how people	2	STATE OF MINNESOTA )  OSS. CERTIFICATE  COUNTY OF DAKOTA )
2 3 4	foolish or it's it's a let's see. Mold will grow where you have spores, dust and water; and manufacturers can't control how how people handle their materials. So I don't think a bedding	2	STATE OF MINNESOTA ) )ss. CERTIFICATE  COUNTY OF DAKOTA )  BE IT KNOWN that I, Jean F. Soule, Registered Professional Reporter, took the foregoing deposition of NEIL GEOFFREY CARLSON;  That the witness, before testifying, was by me
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